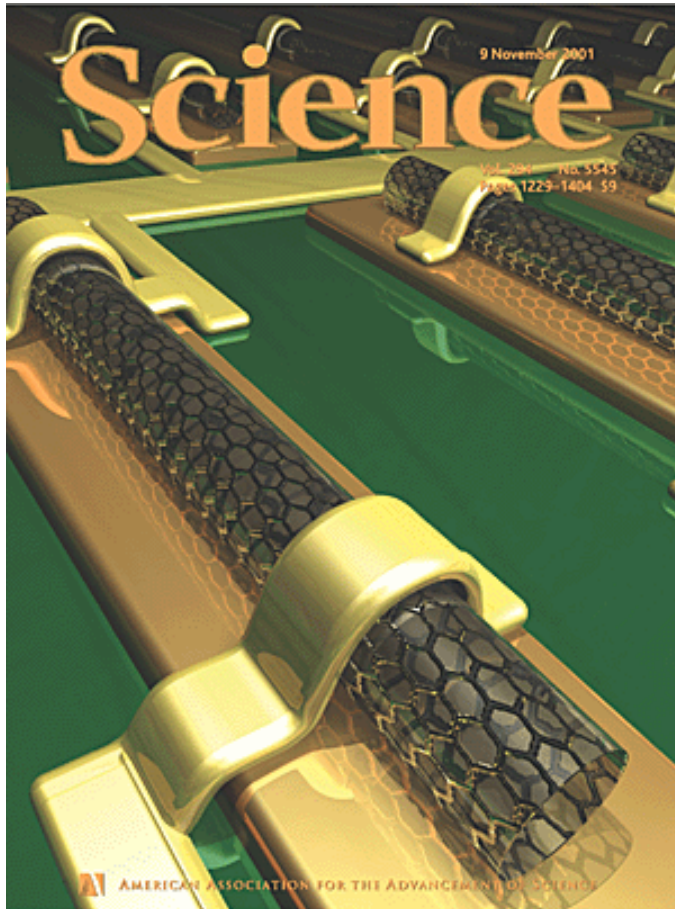


Nanoscience: fact or fiction?

Talat S. Rahman
University of Central Florida



International Symposium on Contemporary Physics, NCP, Islamabad, March 07

Promise of Nanoscience

- Use Interdisciplinary research
to
- Understand & exploit novel properties
of
- Materials at the nanoscale
to
- Address grand challenge problems
in
- Health, Environment, Energy, etc.

Outline

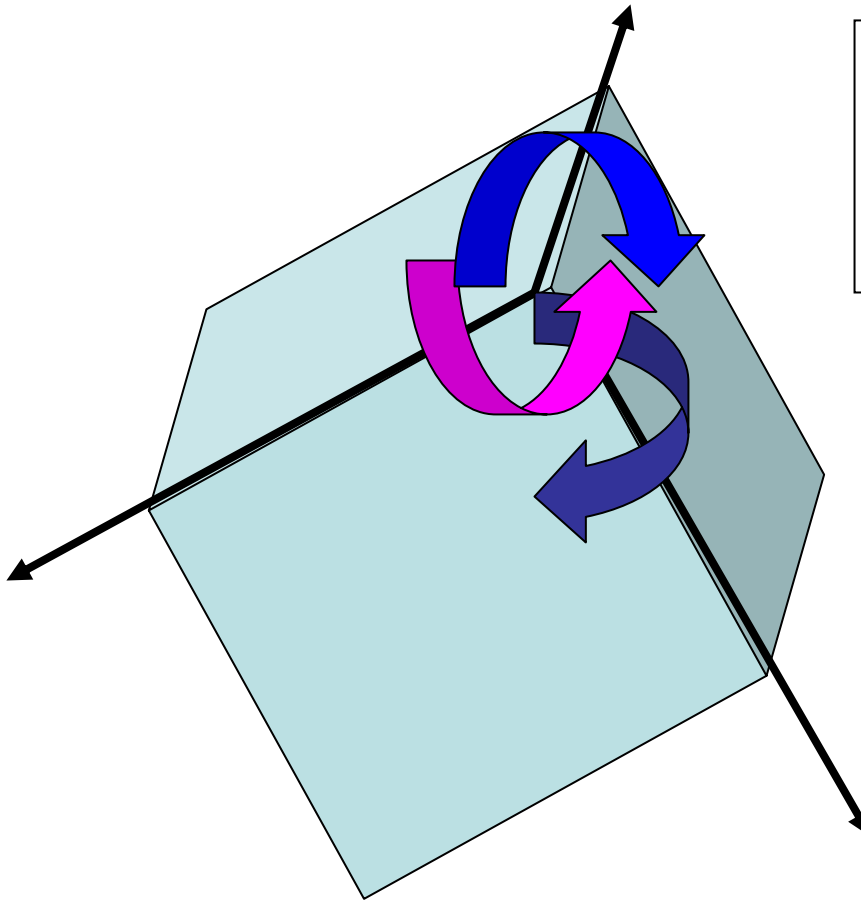
- Pedigree of Nanoscience:
 - *from Microphysics to Macrophysics?*
- Two inventions that changed the way we do business: *the transistor & STM*
- A peek at Surface Physics
- Some results from Nanoscience
- Back to the origins (*in more than 1 way*)

Physics in Perspective **in this interdisciplinary era**

- **Maxwell Equations**
- **Lagrangian Dynamics**
- **Quantum Mechanics**
- **Quantum Field Theory**

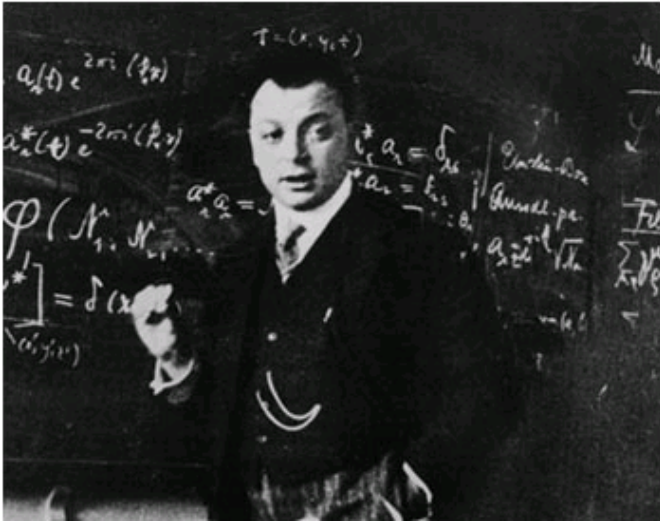
Simplicity, Symmetry, Beauty, Charm
But then there is periodicity!

Bulk Solid

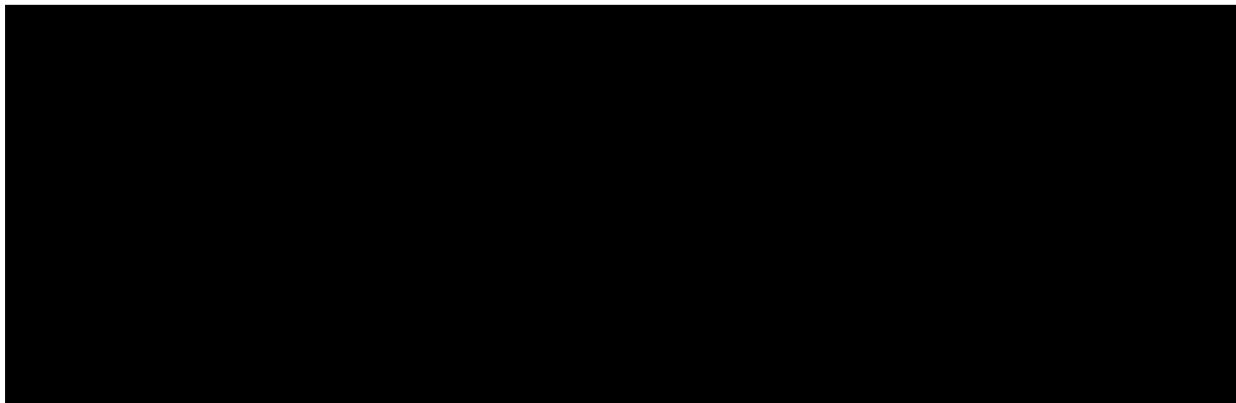


- High Symmetry
- Low Complexity
- All atoms equivalent

Converting Problem into Opportunity

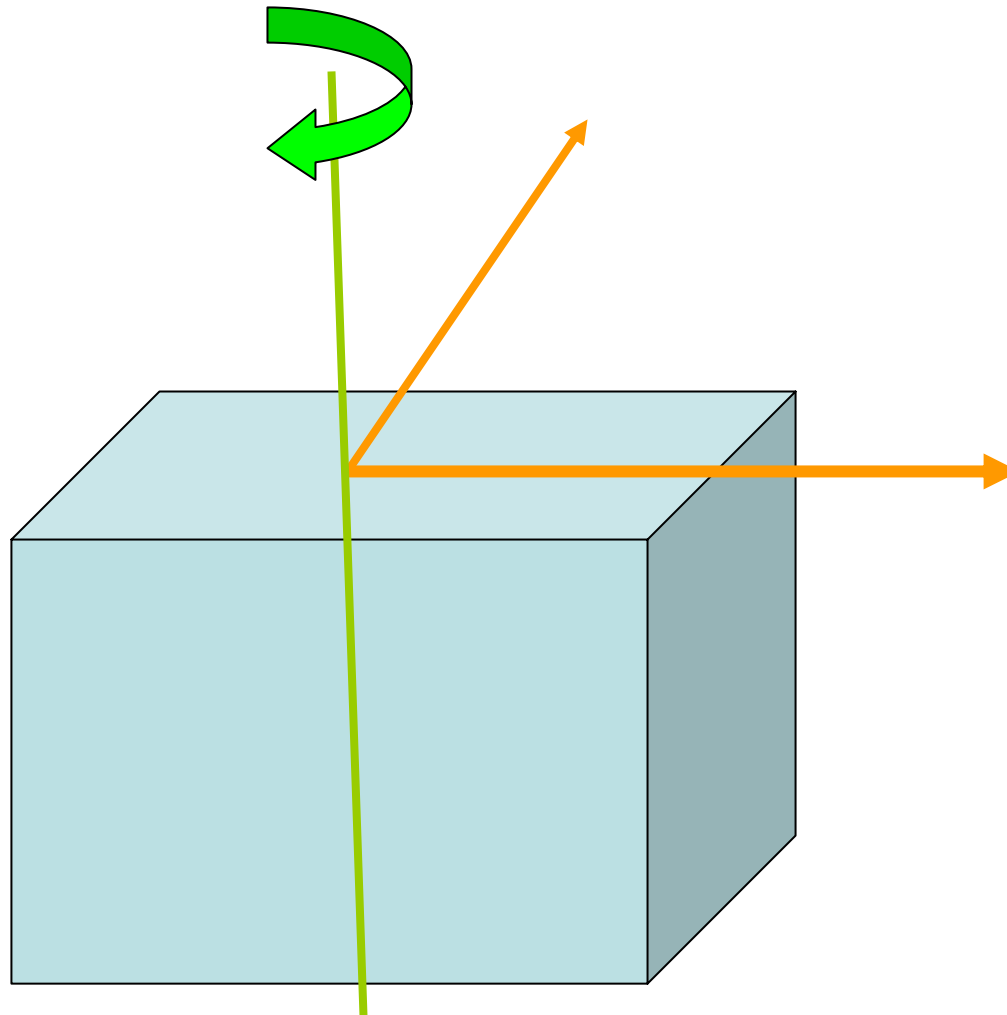


“God created the solids, the devil their surfaces”
-Wolfgang Pauli (1900-1958)

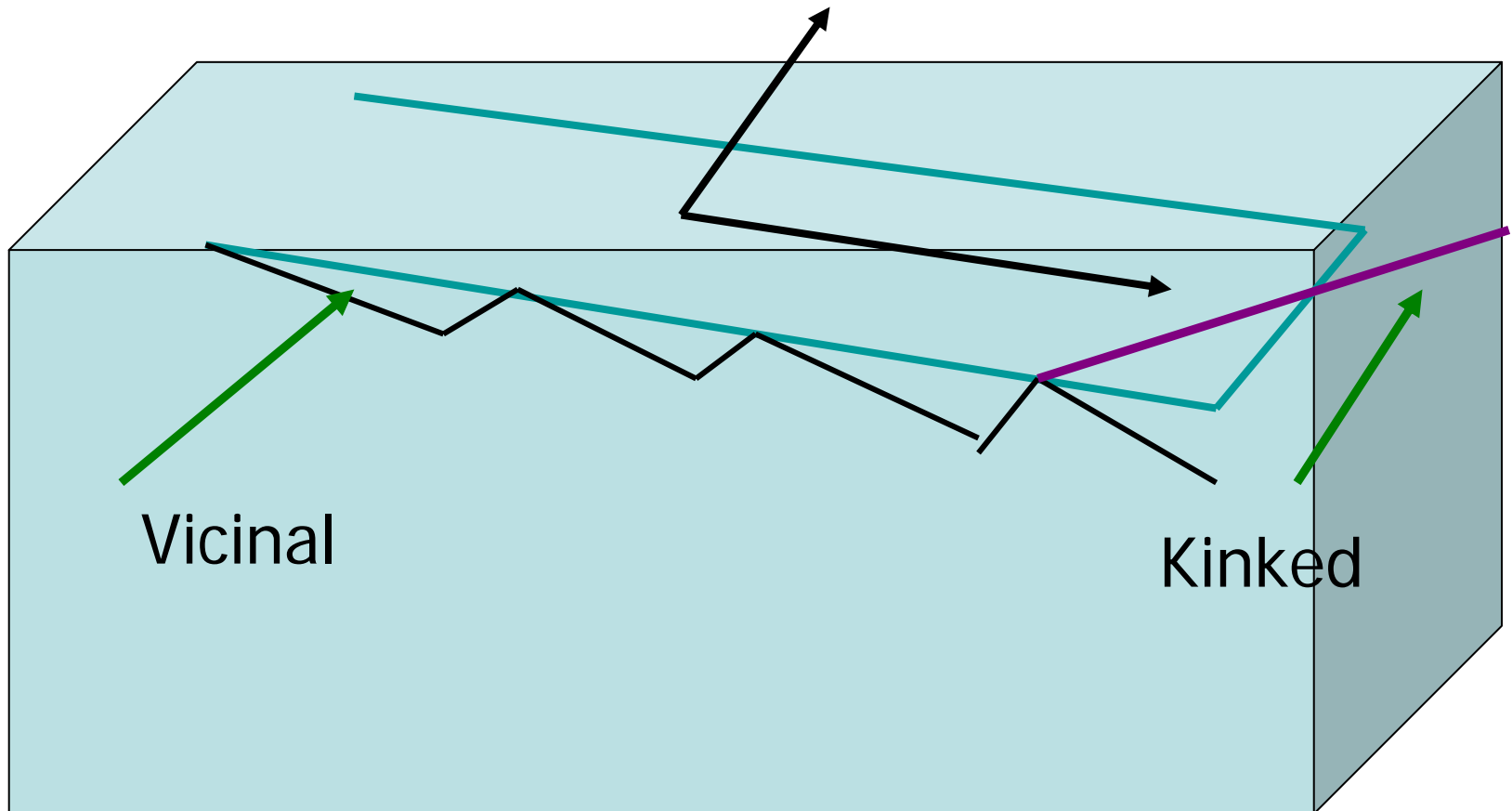


- Materials symmetry defined by atomic-scale features
- Achieving materials control to atomic-scale

Low Miller Index Surfaces

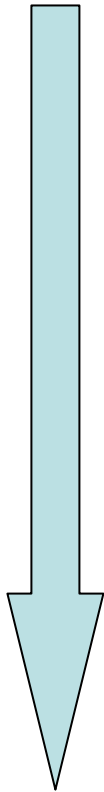


High Miller Index Surfaces

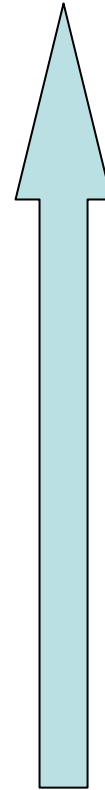


An area of increasing complexity and decreasing symmetry

C
O
M
P
L
E
X
I
T
Y



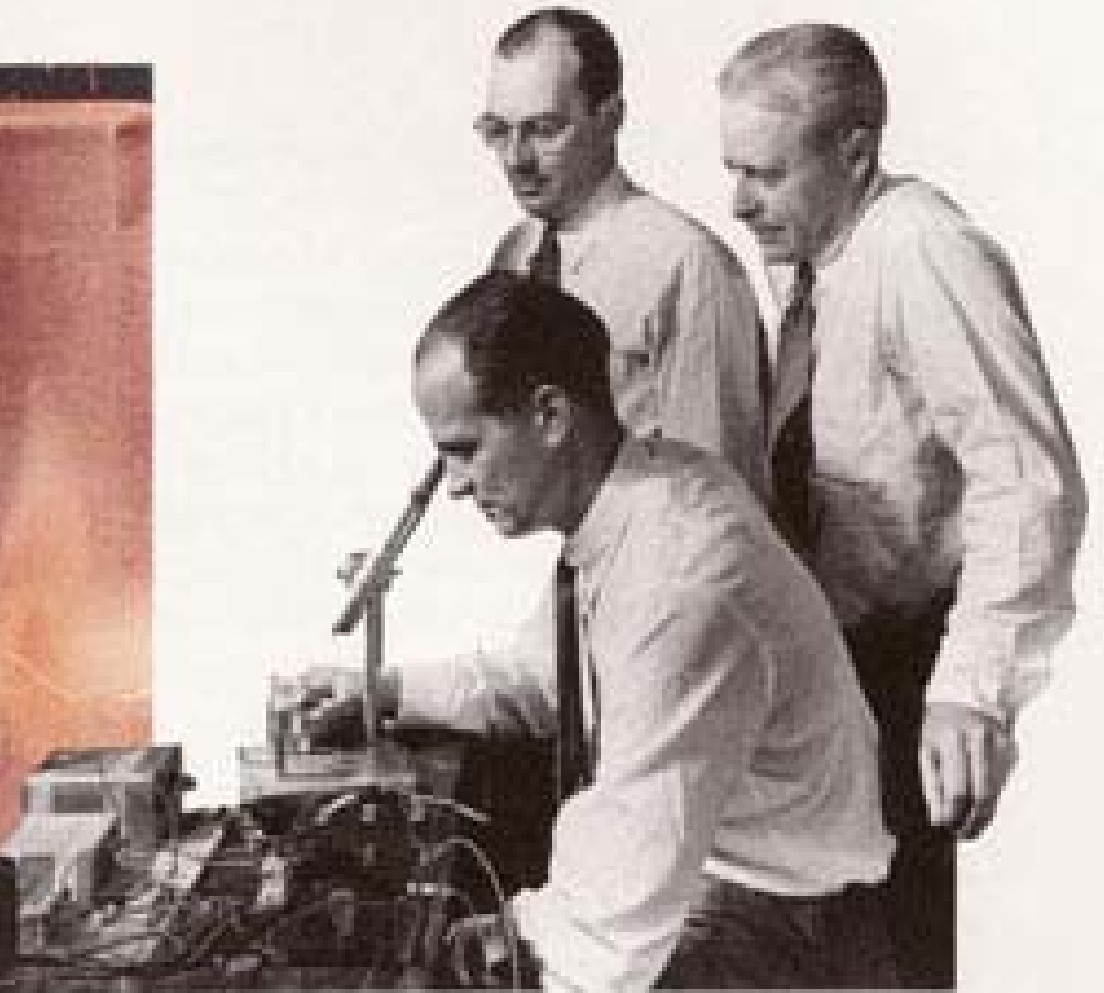
- Bulk
- Flat
- Stepped
- Kinked
- Islanded
- Nano

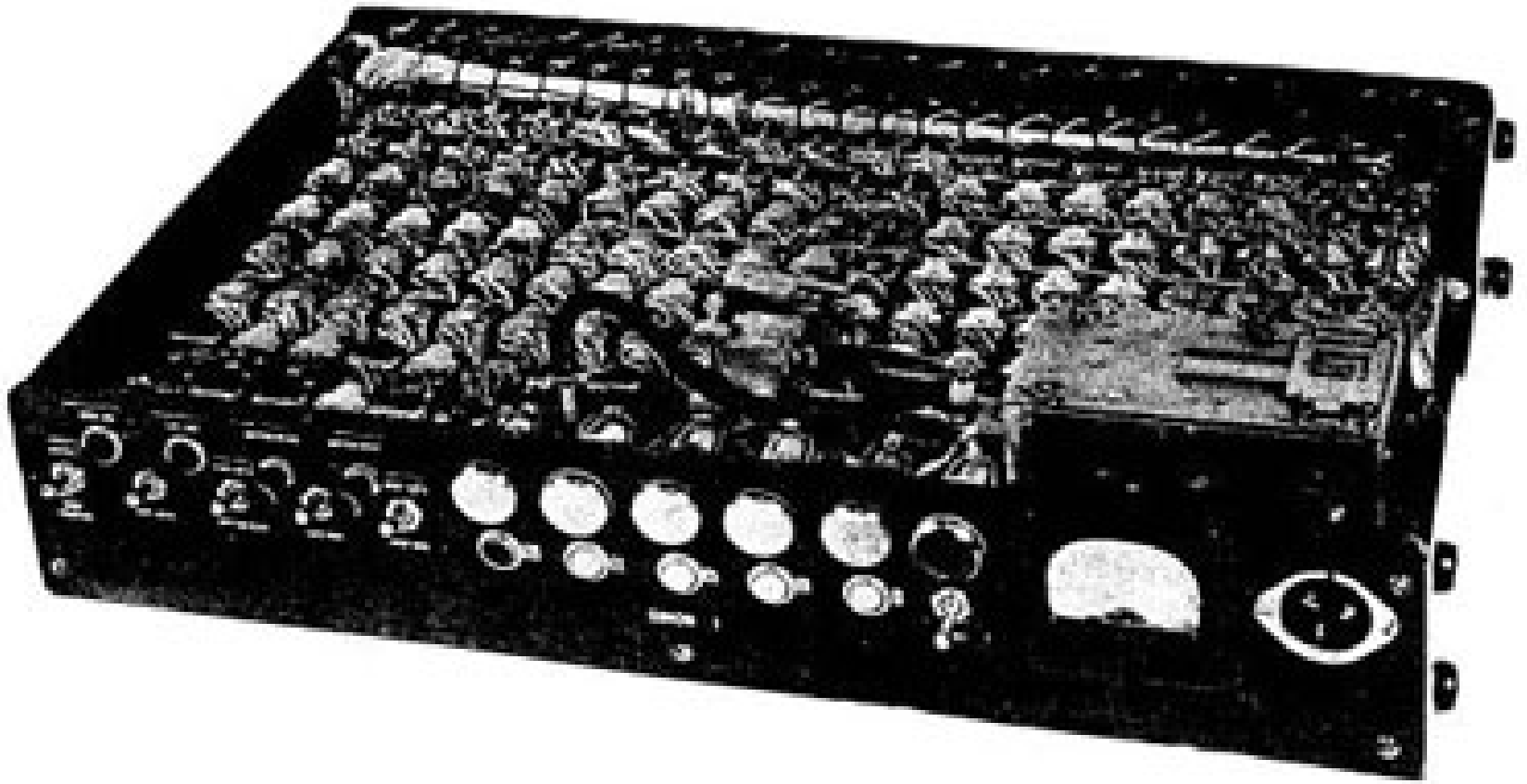


S
Y
M
M
E
T
R
Y

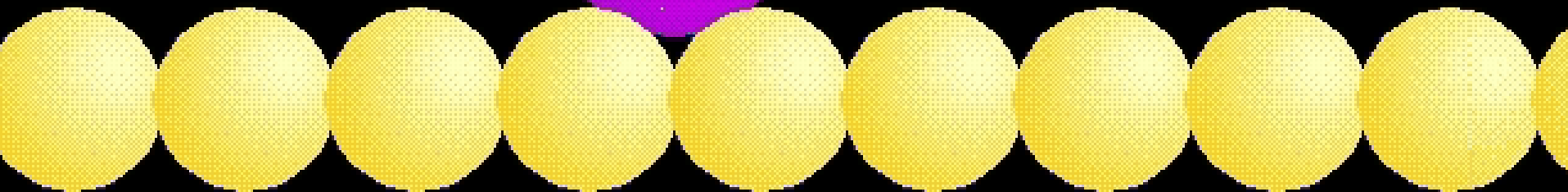
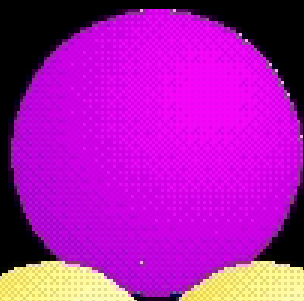
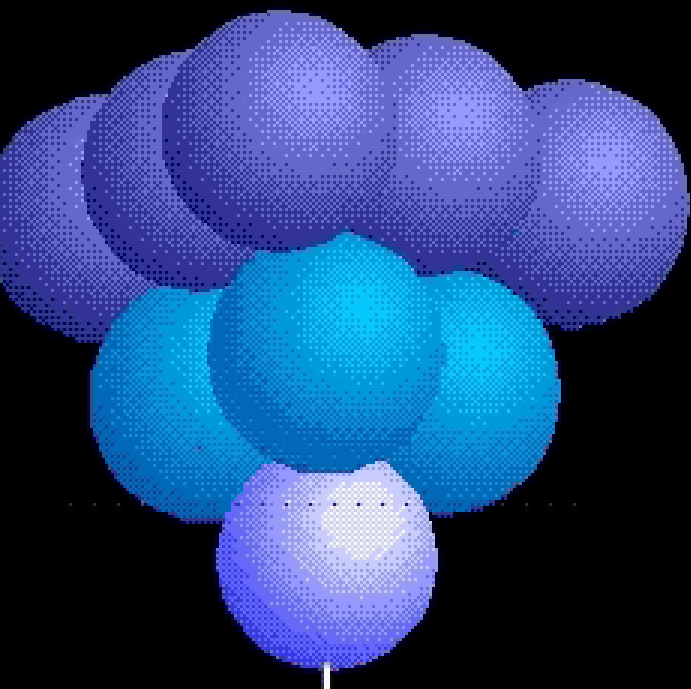
Two Important Inventions

- **Then: The Transistor**
--- *miniaturization*
- **Now: Scanning Tunneling Microscope**
--- *seeing the world atom by atom*





**We are not the only ones changing the world:
a predecessor with tremendous impact**



Examples from Surface Physics

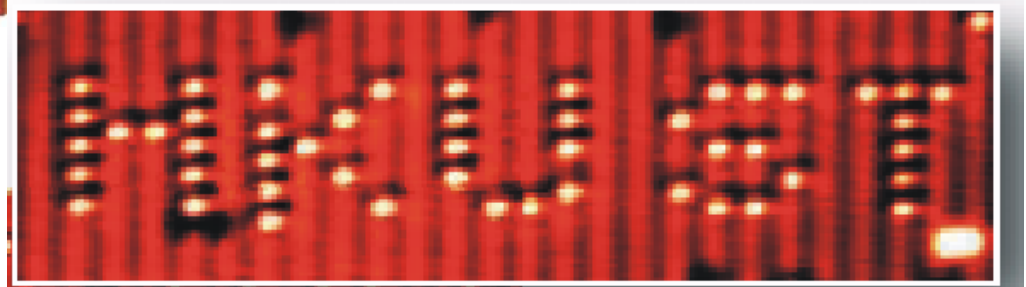
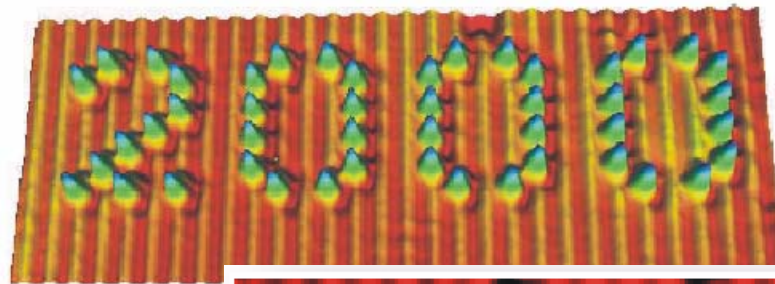
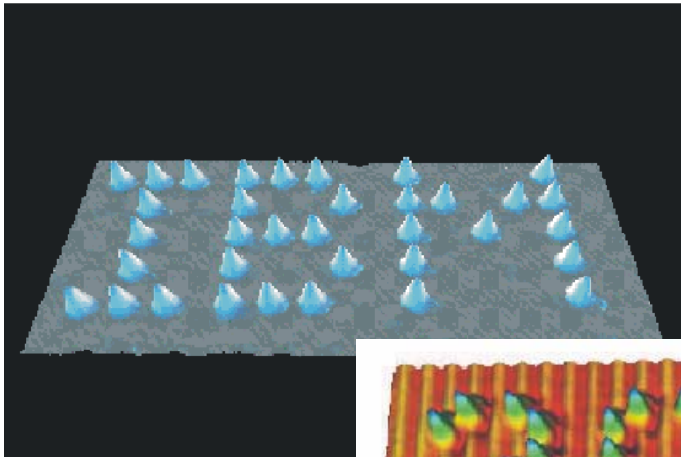
- **Reactivity of an oxide surface**
CO oxidation on cuprous oxide
- **Walking Molecules**
Dithiolanthracene (DTA) on Cu(111)
- **Walking Molecule learns to carry a load**
Anthraquinone with CO₂ on Cu(111)

One of the Milestones in Nanoscience

Building the world
atom by atom

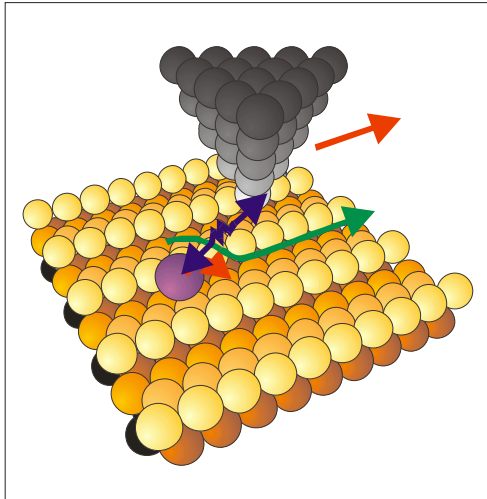
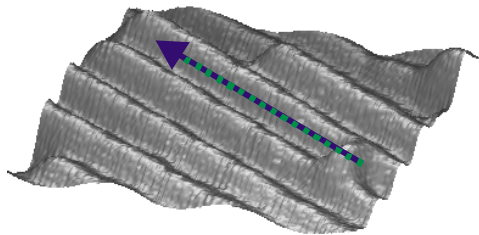
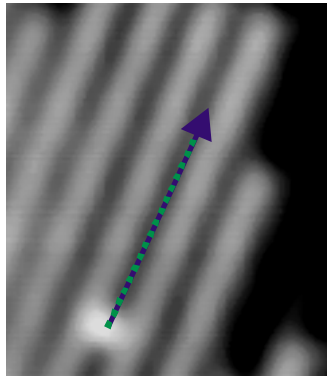
The power of Scanning Tunneling
Microscope: some examples

Lateral Manipulation

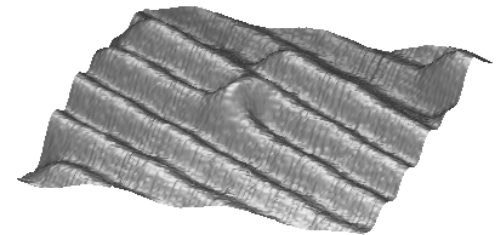
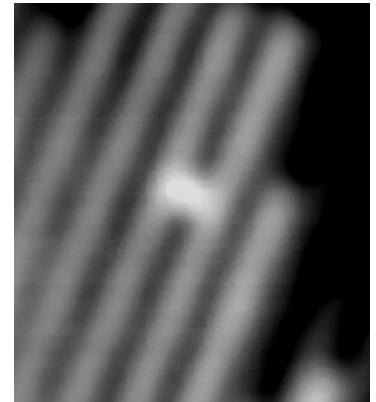


Lateral Manipulation of a Pb atom on Cu(211)

Bartels L., et al.,
PRL 79, 697 (1997)

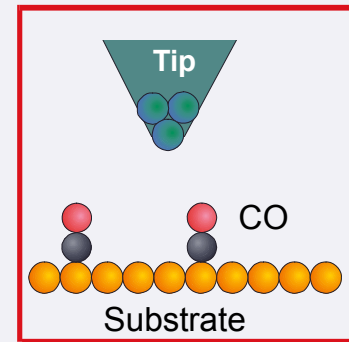
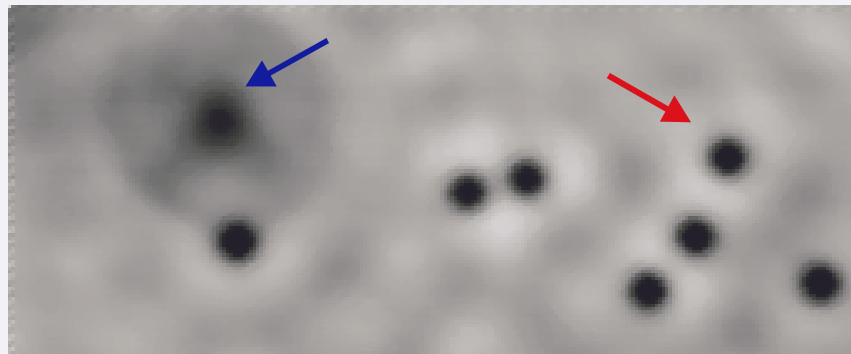


1. Initial image
2. Choosing line
3. Measuring contour
4. Decreasing gap resistance=approaching sample with tip
5. Manipulation
6. Measuring contour

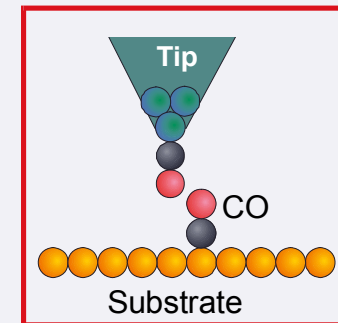
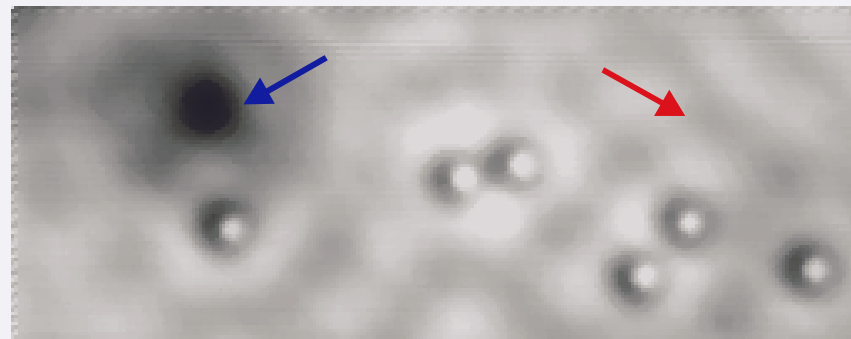


Vertical Manipulation

**bare
tip**

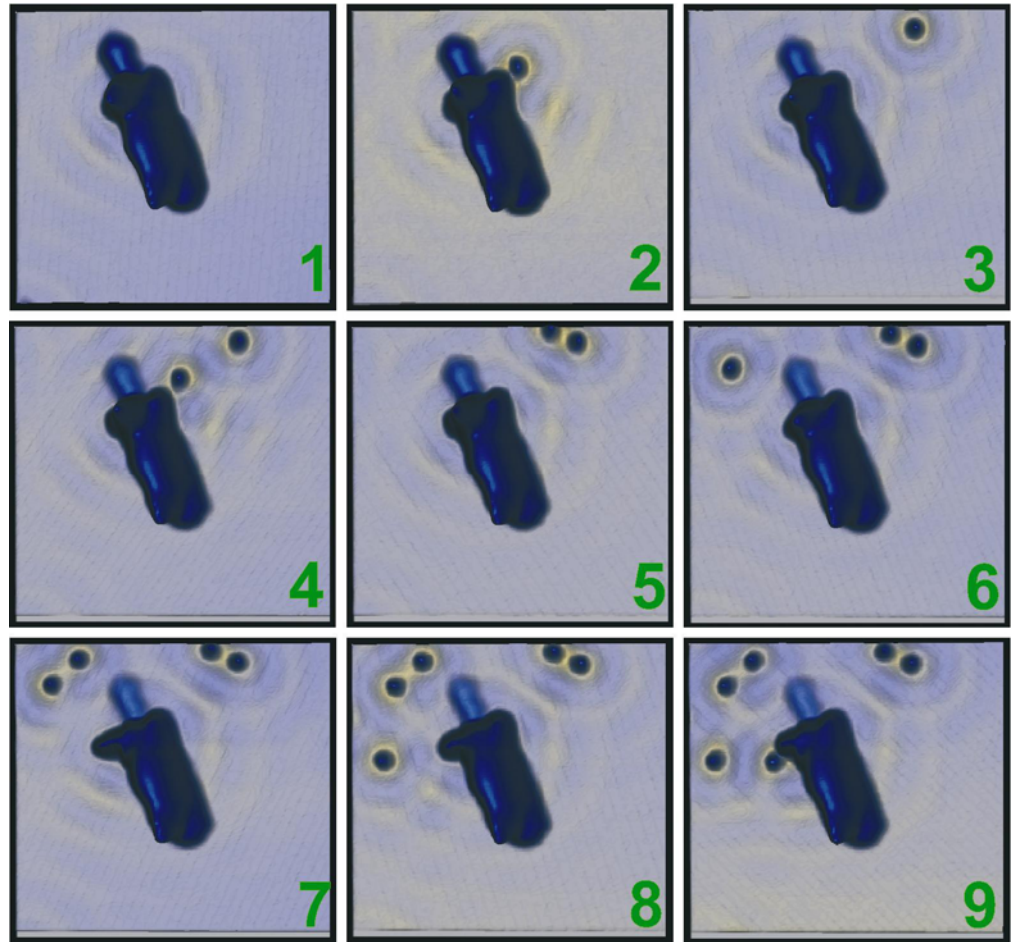
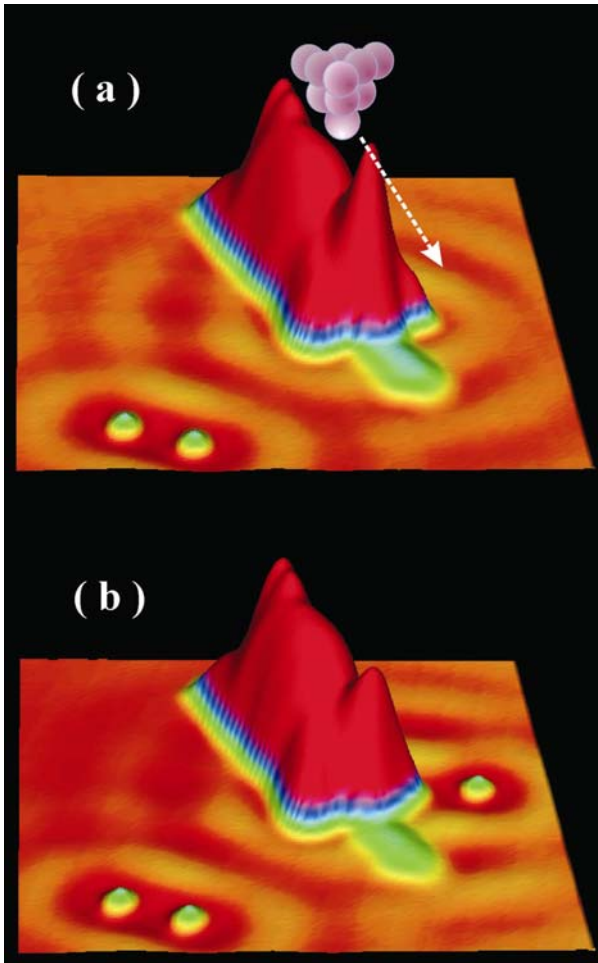


**CO
tip**

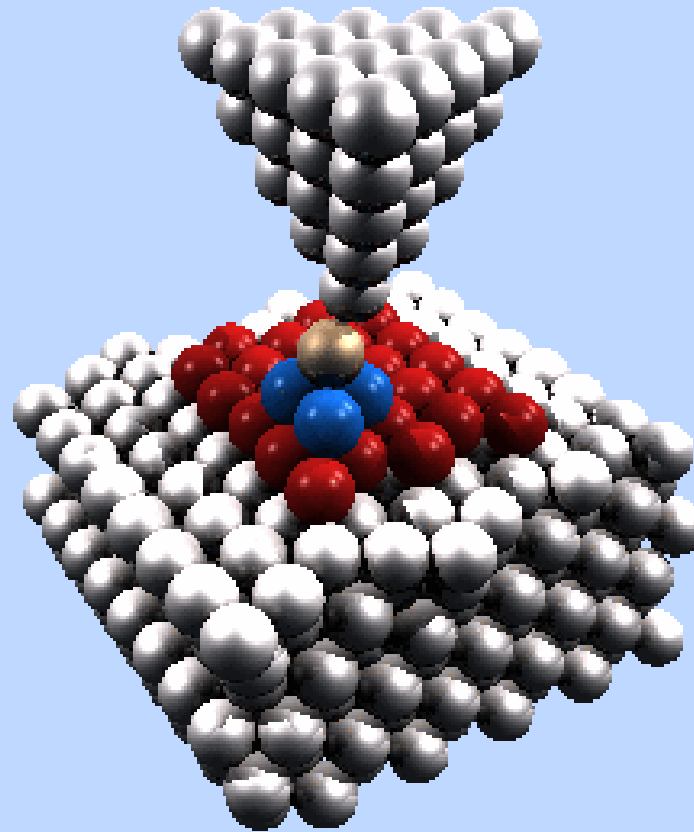


Extraction of atoms

Hla et al(PRL, 2007)

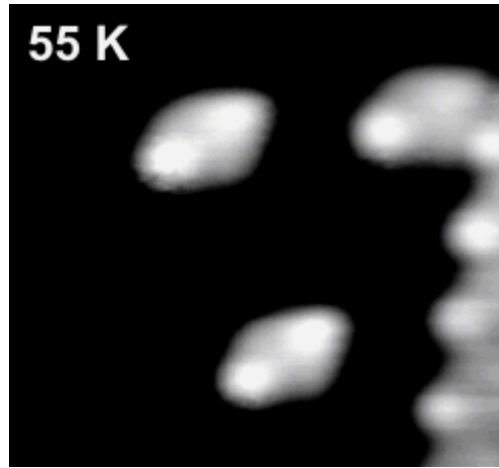


Manipulation with a sharp Ag tip

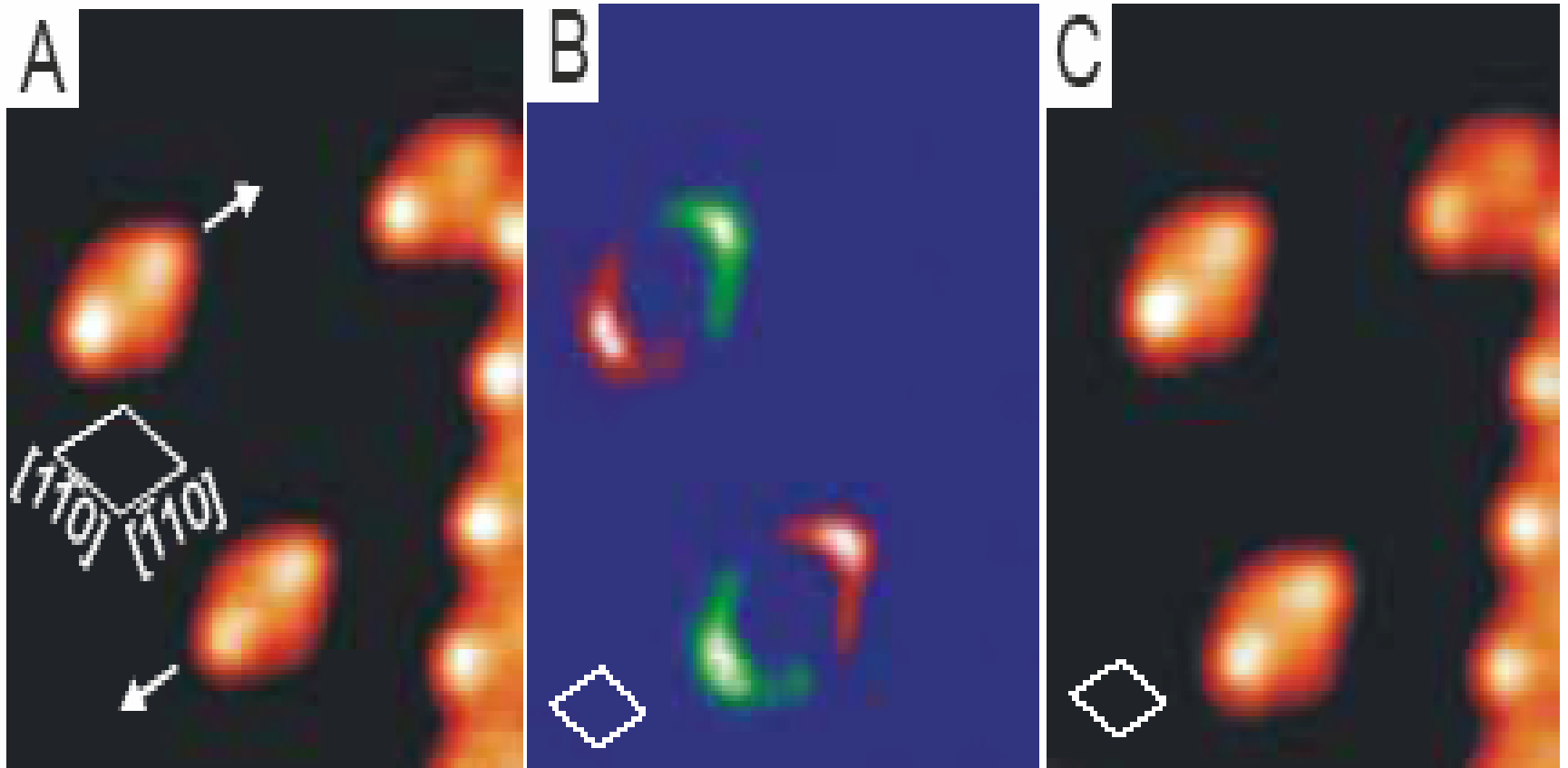


Molecular Dynamics Simulations, Yildirim, et al,
PRL 2007

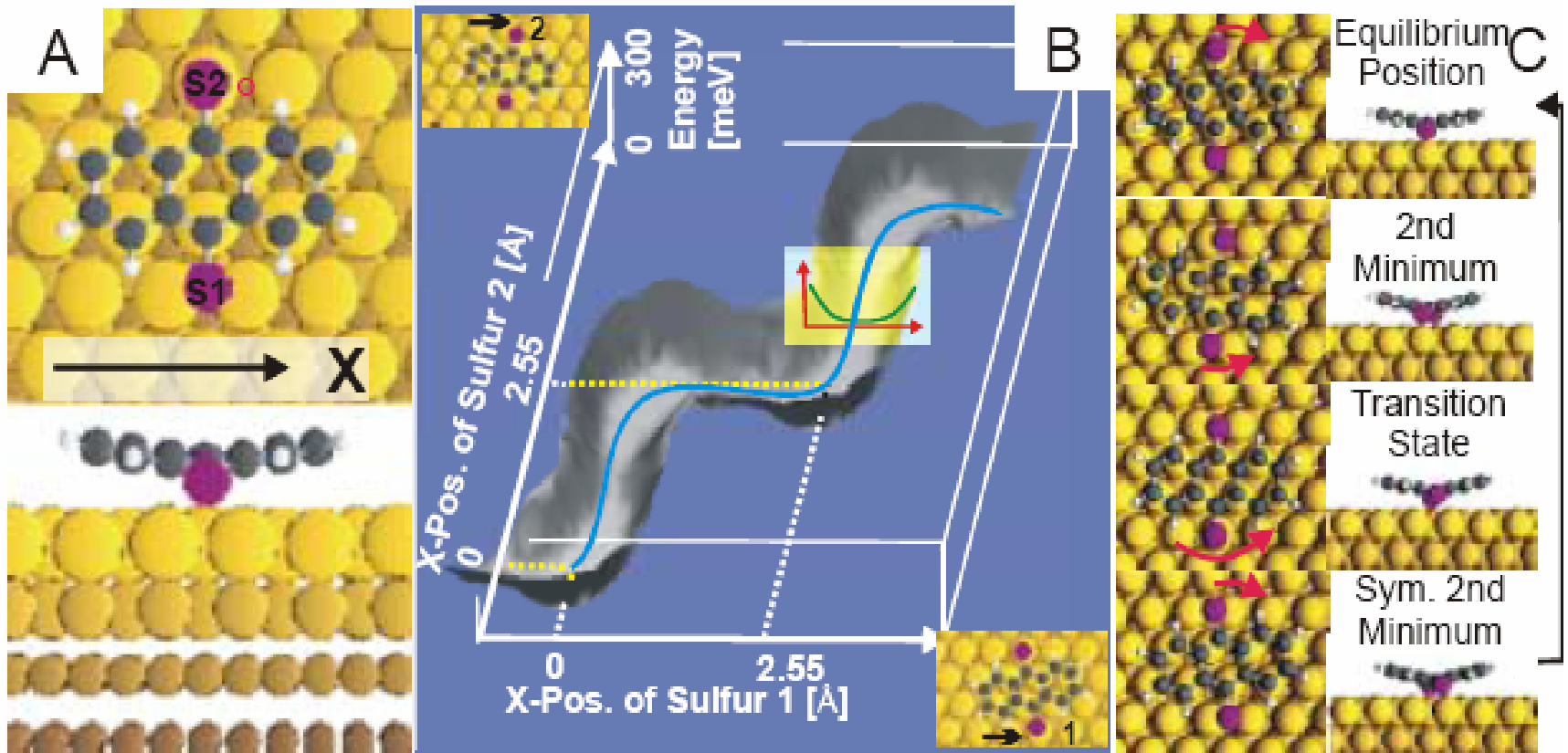
Movie of DTA motion on Cu(111): a 2005 top 25 story in physics



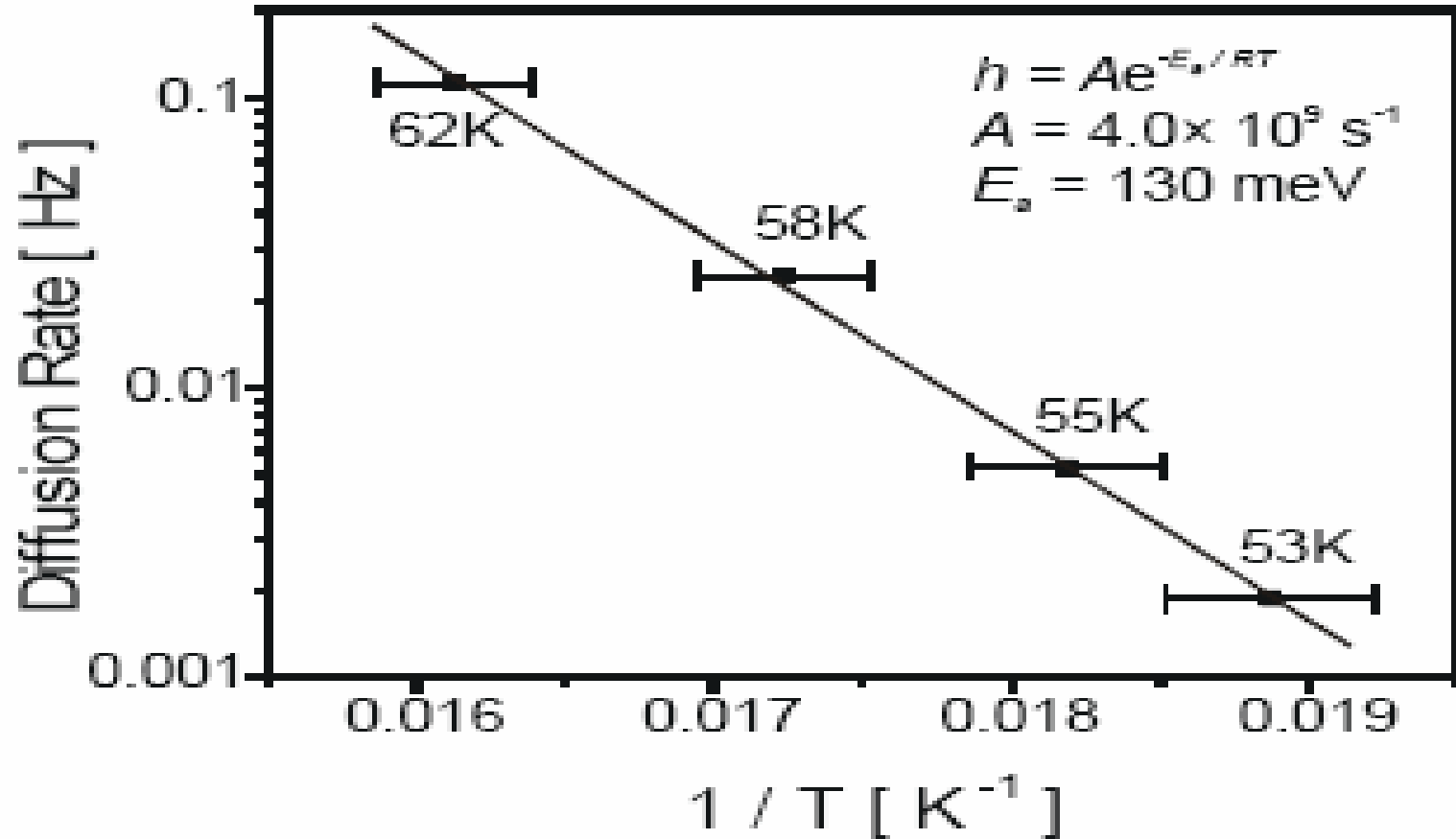
Movement of DTA Molecule on Cu(111) as measured by STM (by Bartels et al.)



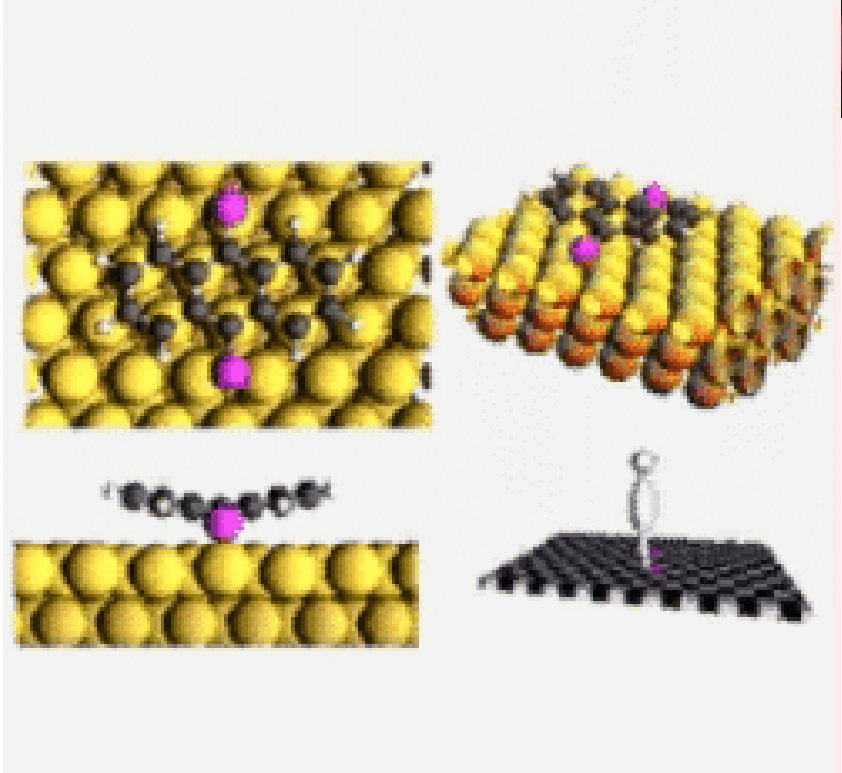
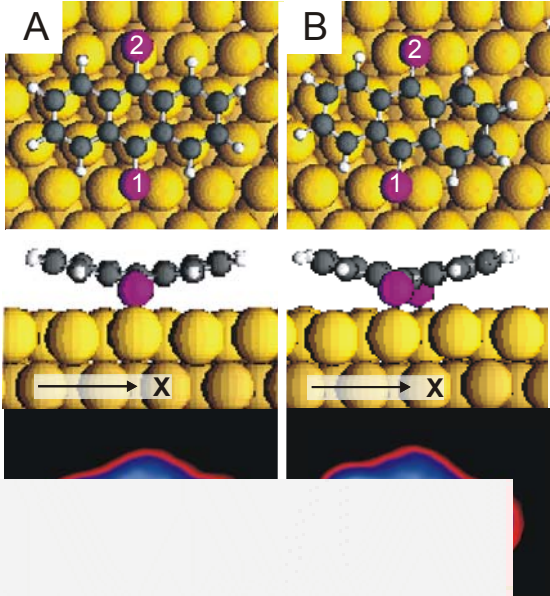
Insights from theory



Temperature Dependence of DTA diffusion



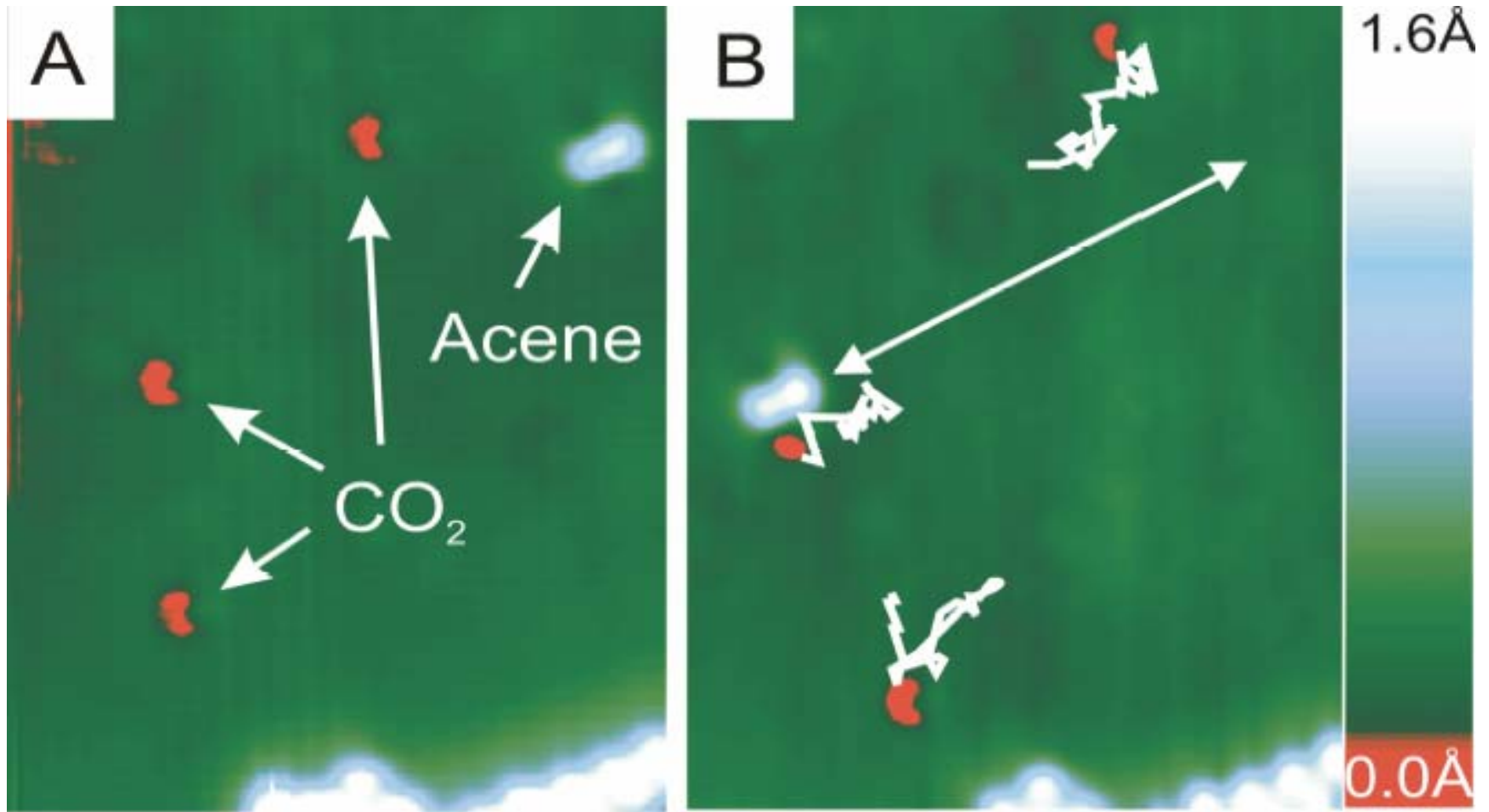
K.-Y. Kwon, K. L. Wong, G. Pawin, L. Bartels, S. Stolbov, and T. S. Rahman, *Phys. Rev. Lett.*, 95 166101 (2005); see also *Physics Today* 58, No.12, p. 9 (2005).
A molecule – called 9,10-dithioanthracene (DTA) – has been created with three linked benzene rings and two “sulfur feet”. Activated by heat on Cu(111), DTA is found to move **straight** along the [110] axis. In tests DTA has taken 10,000 steps without faltering.



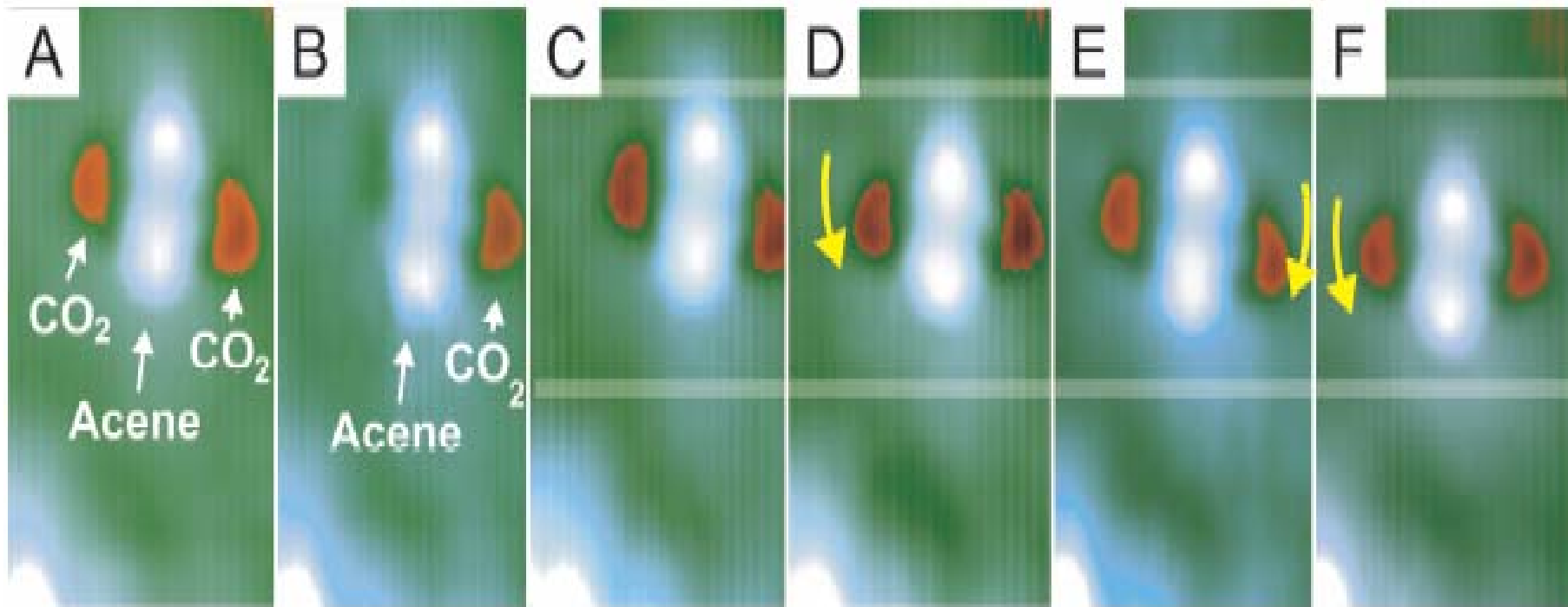
Cartoon of the Walking Molecule

Walking Molecule Carries a Load

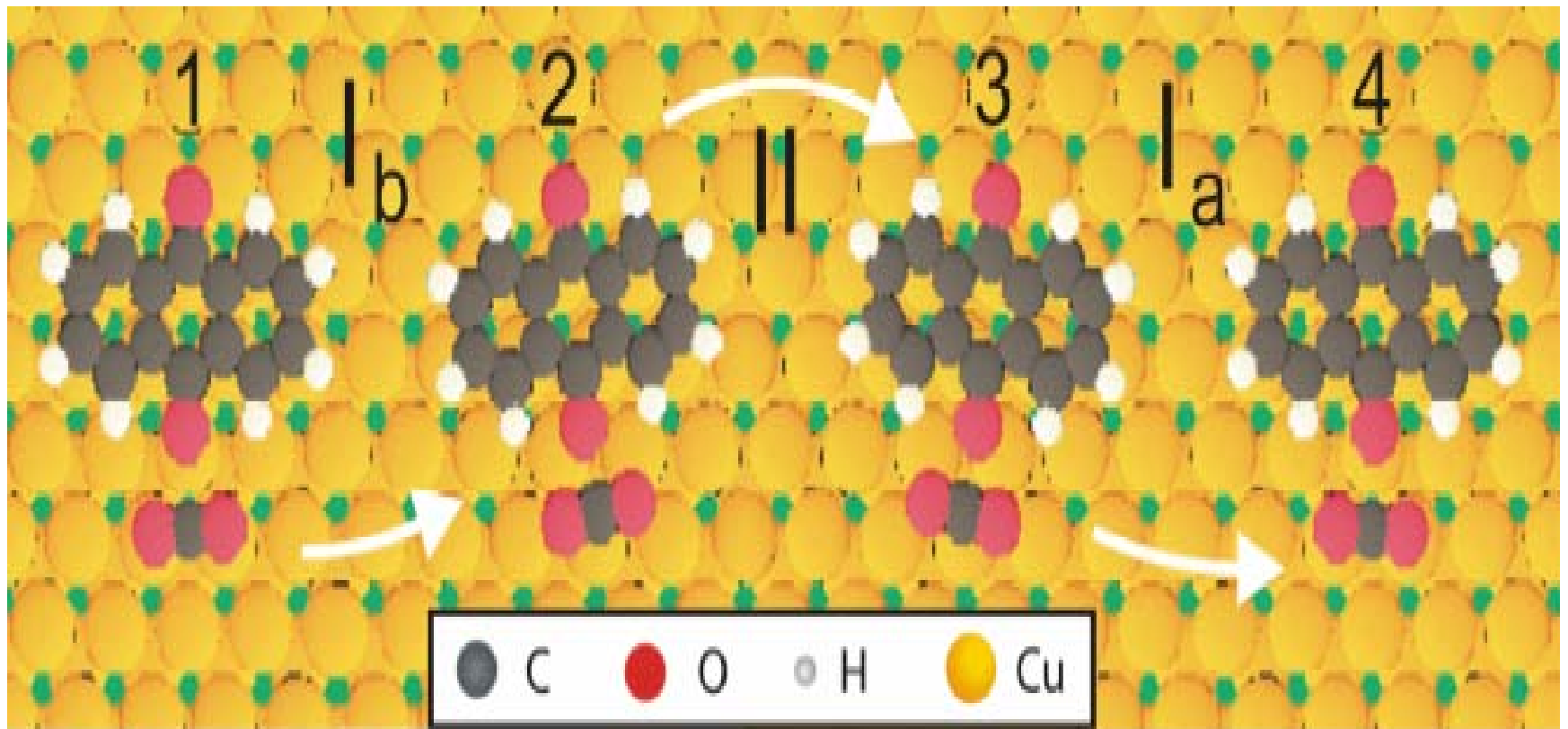
Wong et al, Science, 315 (2007)



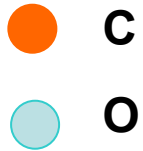
Free Ride for CO₂



Modeling Adsorbate-Substrate Dynamics

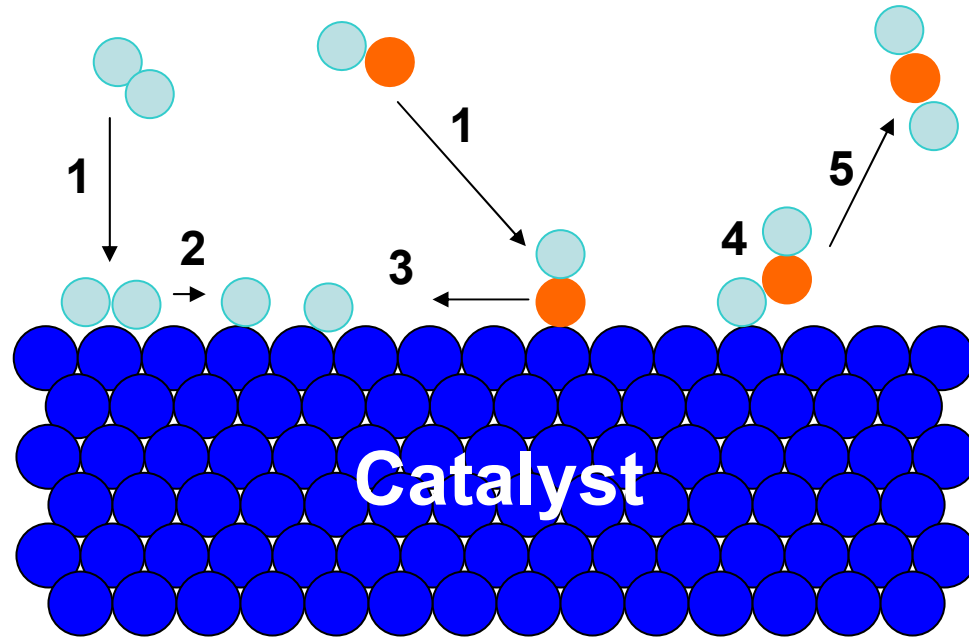


Example: CO oxidation - $2\text{CO} + \text{O}_2 = 2\text{CO}_2$



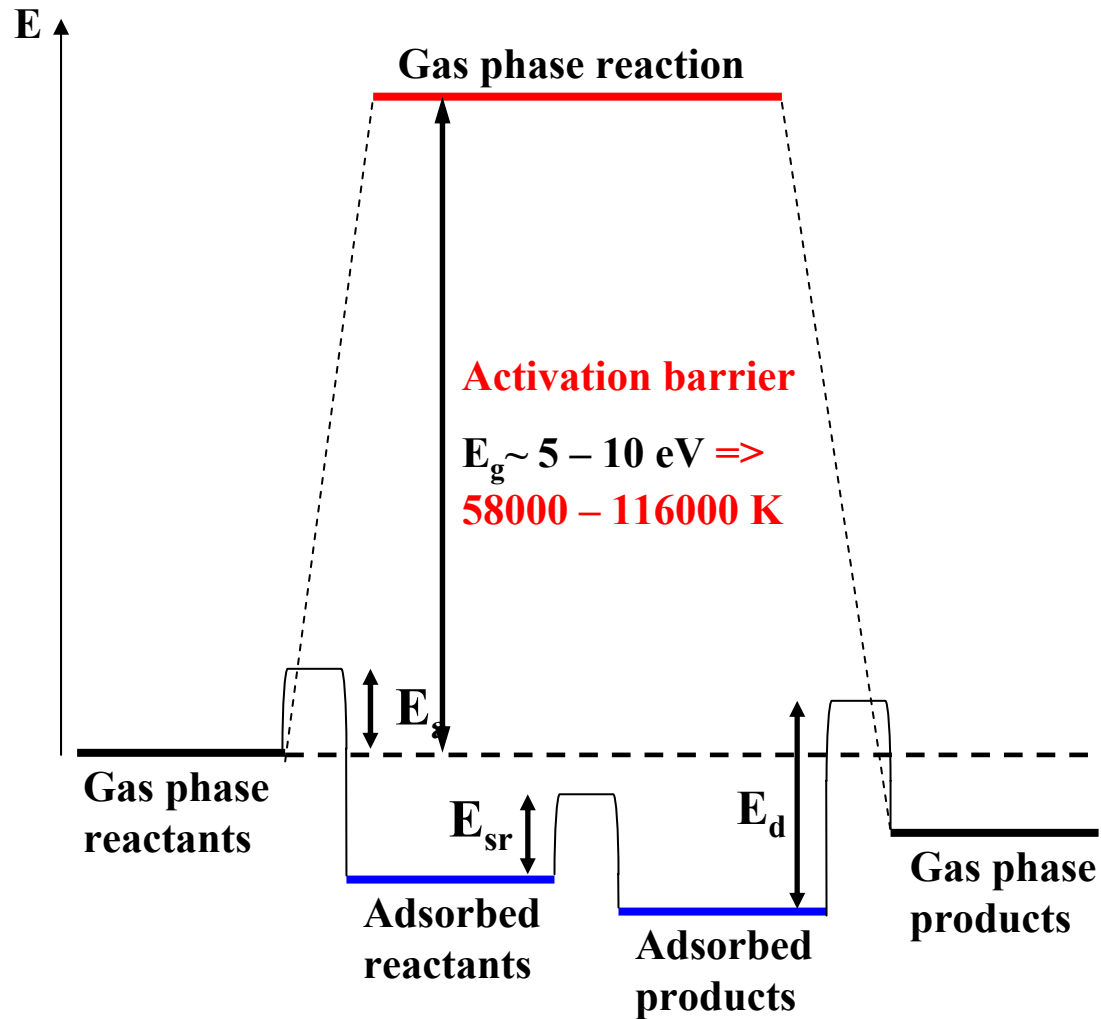
Elementary
processes:

1. Adsorption;
2. **Dissociation;**
3. Diffusion;
4. **Bonding;**
5. Desorption;



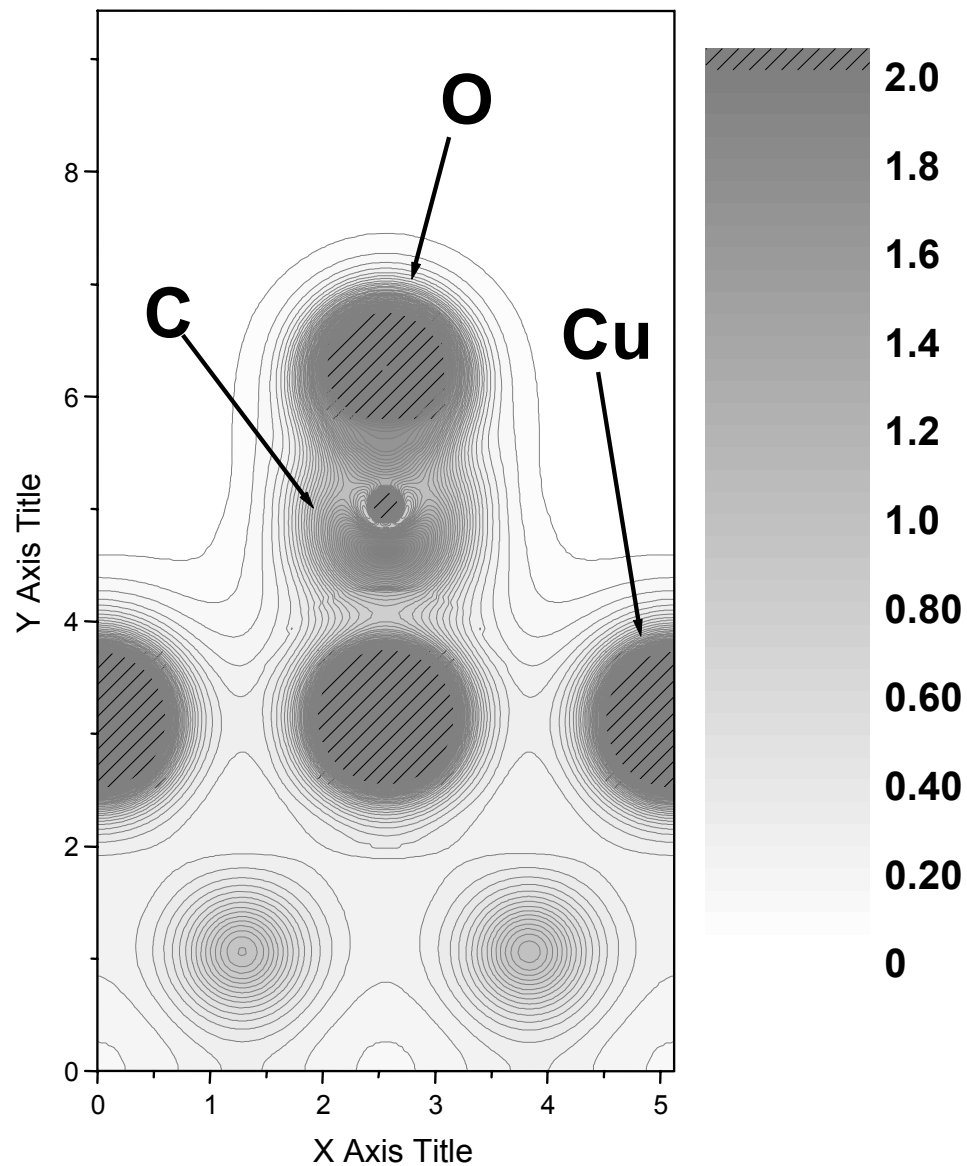
Everything is about breaking and making bonds.
**This thus requires a quantum mechanical
description.**

How it works:



Why does it work?

Valence charge density of the Cu(111) surface adsorbed with CO (our calculations)



Computational details

We use first principles methods based on the **density functional theory (DFT)**

$$\left\{ -\frac{1}{2} \nabla^2 + V_{\text{eff}}[n(\mathbf{r})] \right\} \varphi_j(\mathbf{r}) = \varepsilon_j \varphi_j(\mathbf{r})$$

Reciprocal space representation for wave functions - developed for periodic crystals. **Surface breaks periodicity perpendicular to the surface.**

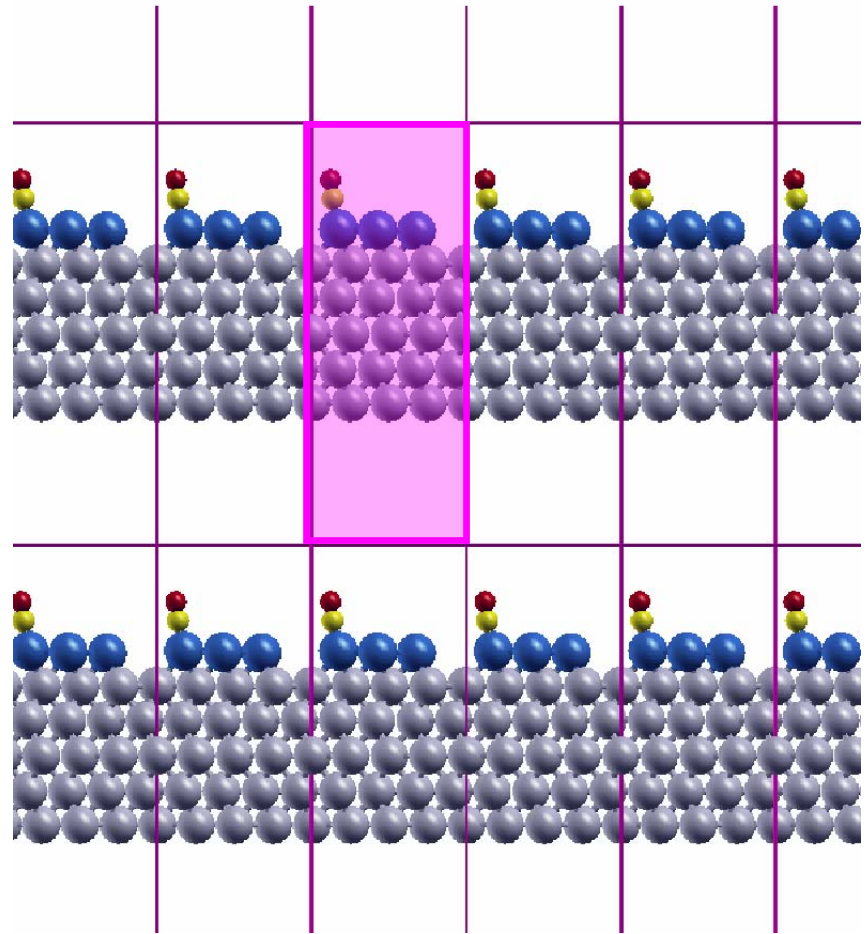
Adsorbates break the periodicity along the surface.

Supercell restores the 3D periodicity.

Output:

1. Ground state wave function;
2. Electronic charge density, LDOS;
3. Total energy;
4. Forces acting on each atom – structural relaxation;
5. Phonons;
6. Many other characteristics.

A supercell and 3D periodic structure prepared for the first principles studies of adsorption and diffusion of CO molecules on small Pt islands pre-adsorbed on the Ru(0001) surface.



**First principles studies of CO adsorption and oxidation on the
Cu₂O(100) surface**

Duy Le, Sergey Stolbov, and TSR

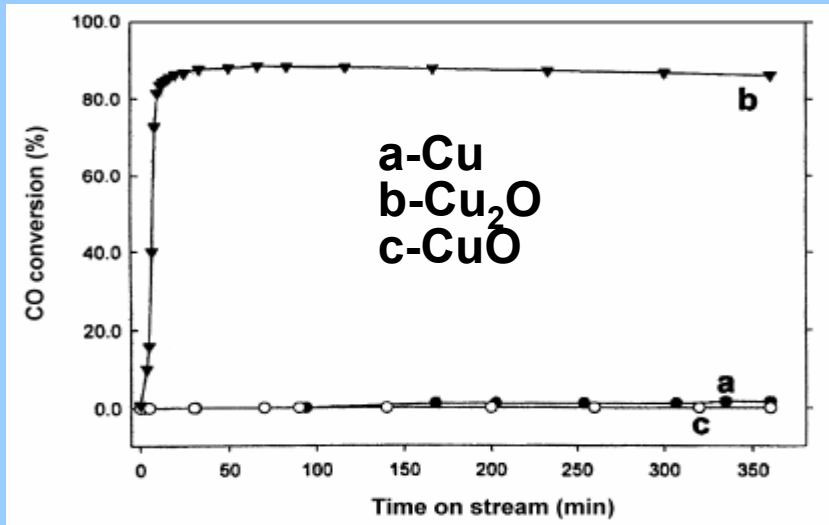
In collaboration with:

B. White, M. Yin, A. Hall, N. Turro, S. O'Brien Columbia University

Nanoletters 2006

CO oxidation at T=140°C

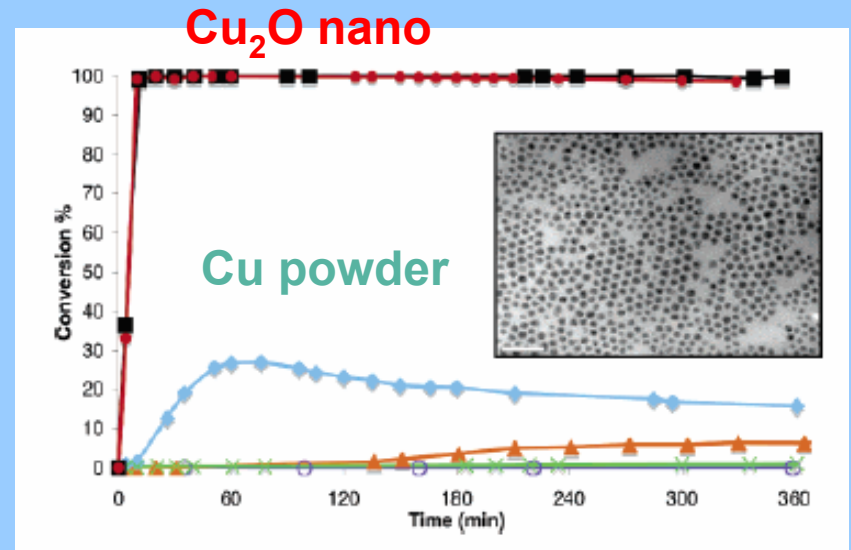
More than 85% CO convert to CO₂ over Cu₂O powder



T. Huang and D. Tsai, *Catal. Lett.* **87**, 173 (2003)

CO oxidation at T=240°C

More than 99.5% CO converts to CO₂ over Copper (II) oxide nanoparticles



B. White, M. Yin, A. Hall, D. Le, S. Stolbov, T. Rahman, N. Turro, S. O'Brien, *S. Nano Lett.* **6**(9), 2095 (2006).

Copper (II) oxide may be a good, cheap catalyst for CO oxidation

First principles studies

For the $\text{Cu}_2\text{O}(100)$ surface we calculate a **surface (p, T) phase diagram** applying *ab initio* thermodynamics, DFT – **energetics and pathways** for CO adsorption, diffusion and oxidation on $\text{CuO}_2(100)$.

DFT – GGA – PBE (1996)

Slab:

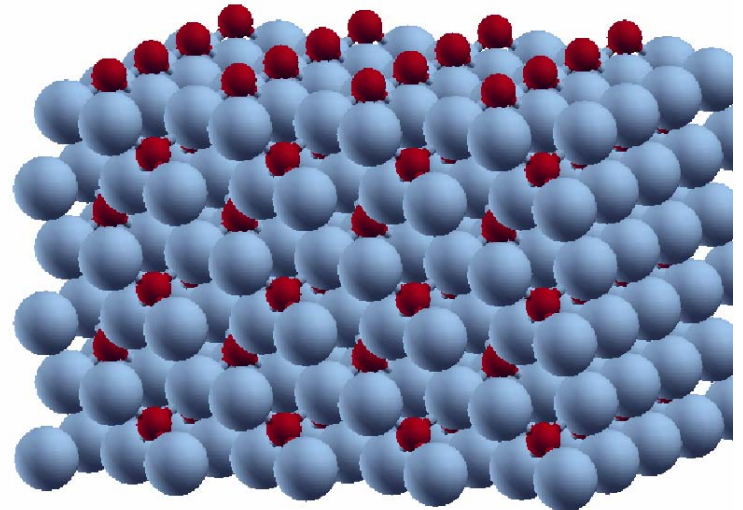
O-terminated – 6 Cu_2 layers; 7 O-layers

Cu-terminated – 7 Cu_2 layers; 6 O layers

$\sim 13\text{\AA}$ vacuum.

Used 2D supercells: 2x2.

A fragment of the slab modeling the O-terminated $\text{Cu}_2\text{O}(100)$ surface



Surface phase diagram

Free energy: $\gamma(T, \{p_i\}) = \frac{1}{A} \left[G_{DFT} - \sum_i N_i \mu_i(T, p_i) \right]$

Applying $2\mu_{Cu} + \mu_O = g_{Cu_2O}^{bulk}$ **for equilibrium**

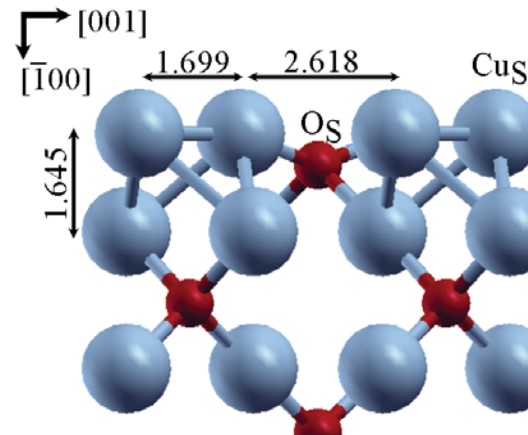
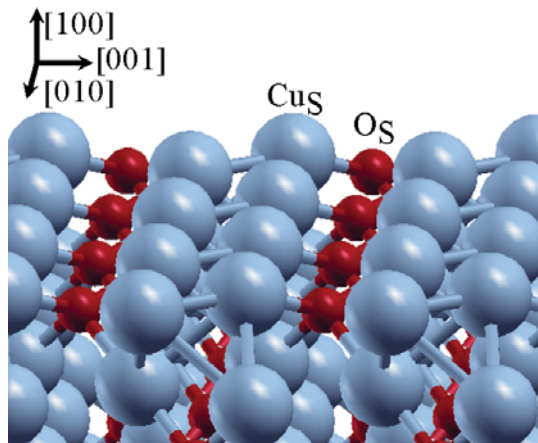
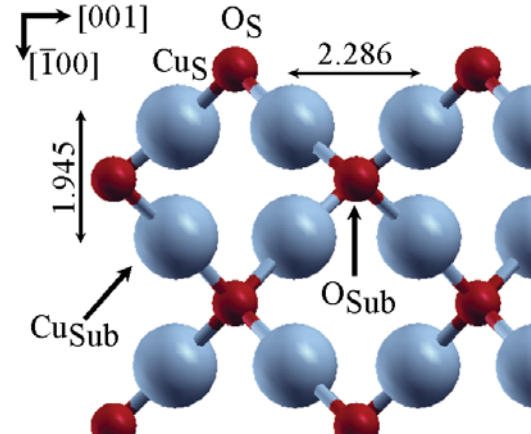
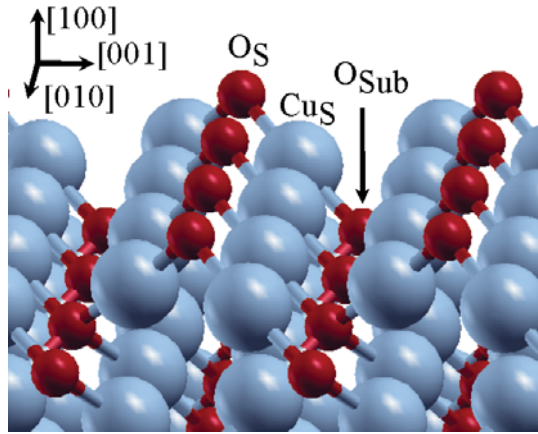
=> only one independent variable μ_O :

$$\mu_O(T, p_{O_2}) = \frac{1}{2} \left[E_{O_2}^{tot} + \tilde{\mu}_{O_2}(T, p^0) + k_B T \ln\left(\frac{p_{O_2}}{p^0}\right) \right]$$

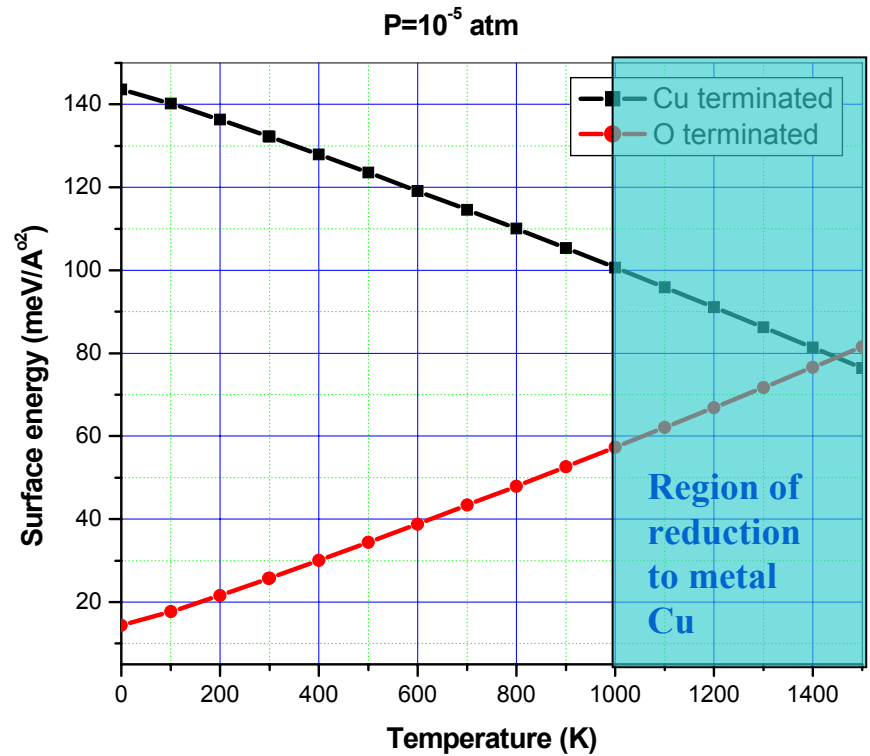
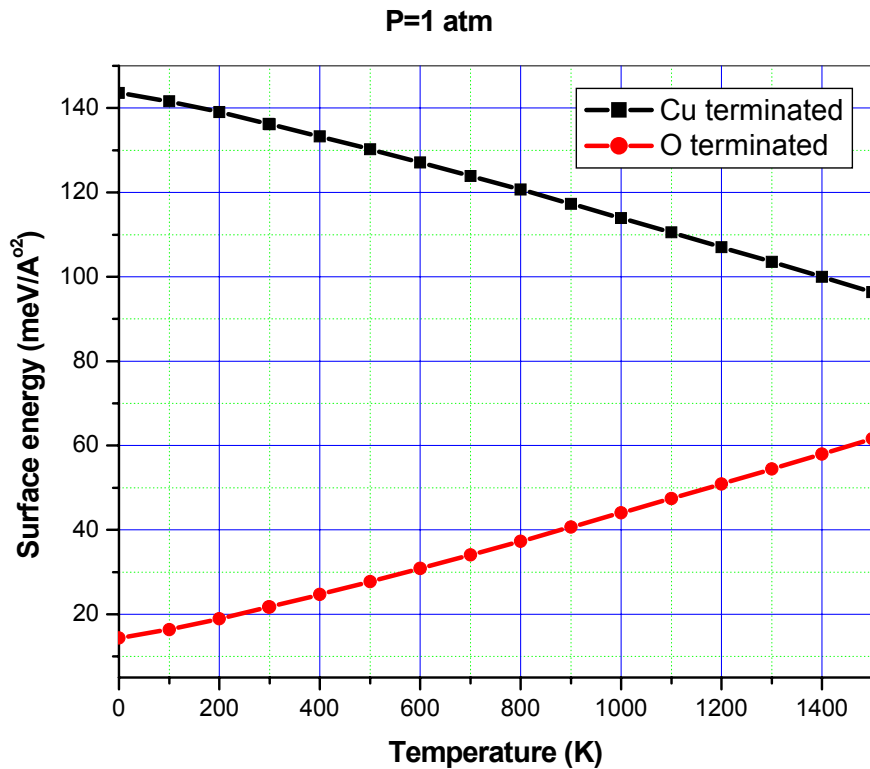
$$\gamma(T, p_{O_2}) = \frac{1}{2A} \left\{ G_{slab}^{surf} - \frac{1}{2} \left[N_{Cu} g_{Cu_2O} + (2N_O - N_{Cu}) \mu_O \right] \right\}$$

Ref: K.Reuter and M. Scheffler, *PRB* **65**,035406 (2001)

Surface structure

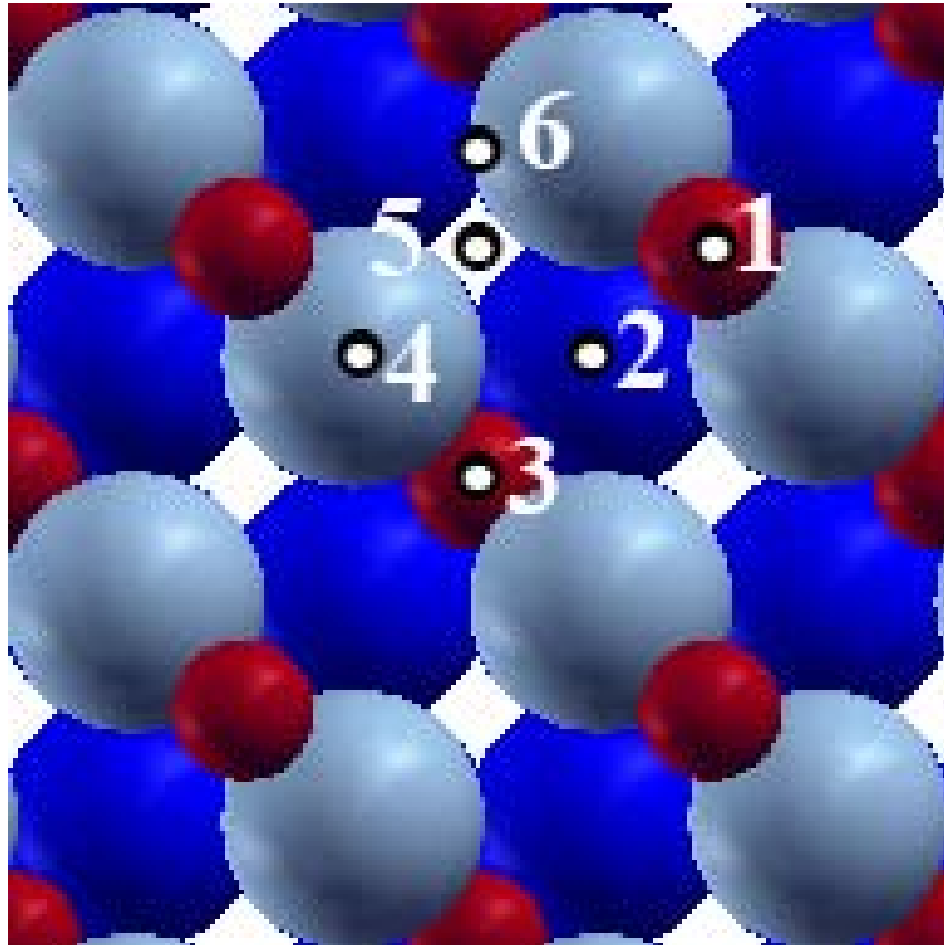


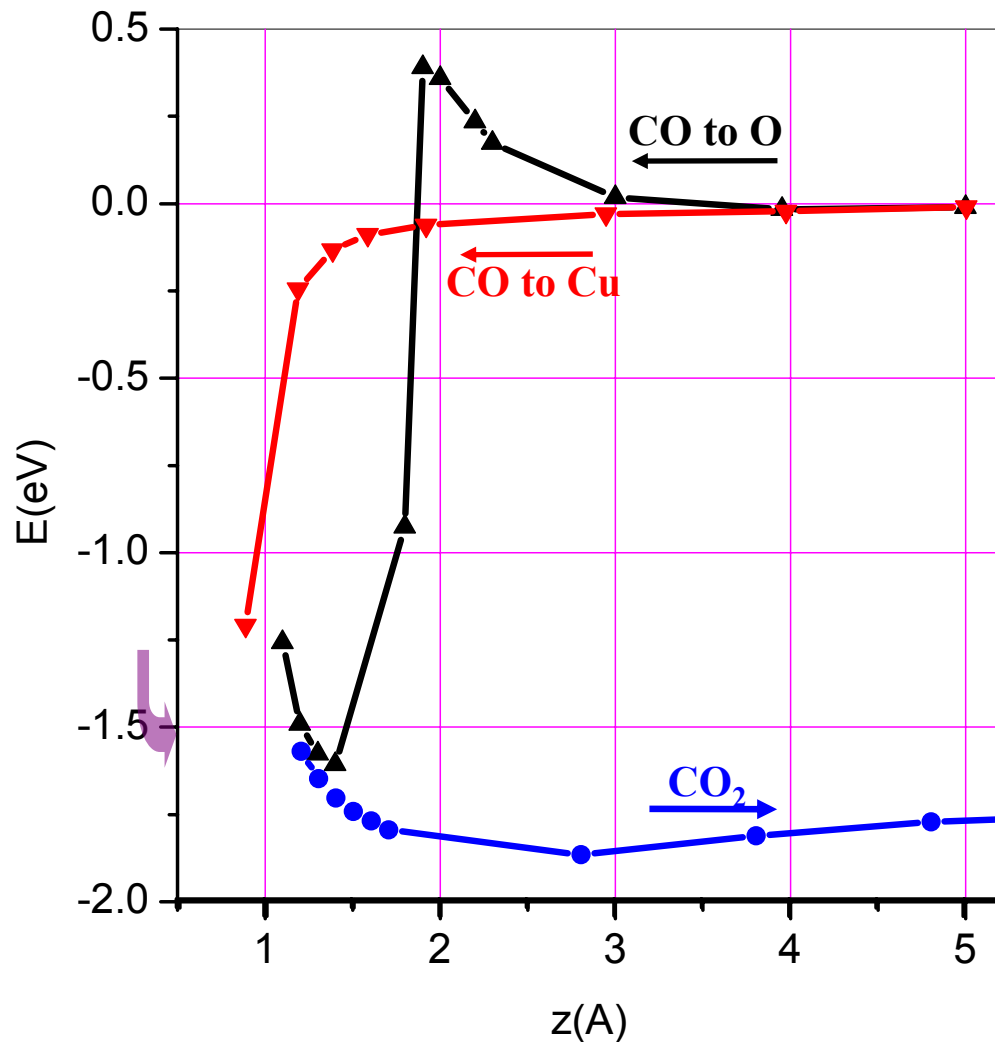
Surface phase diagram



In entire (p, T) region of existence of Cu₂O the **O-terminated** Cu₂O(100) is **more stable** than **Cu-terminated** Cu₂O(100)

CO adsorption & reaction on $\text{Cu}_2\text{O}(100)$





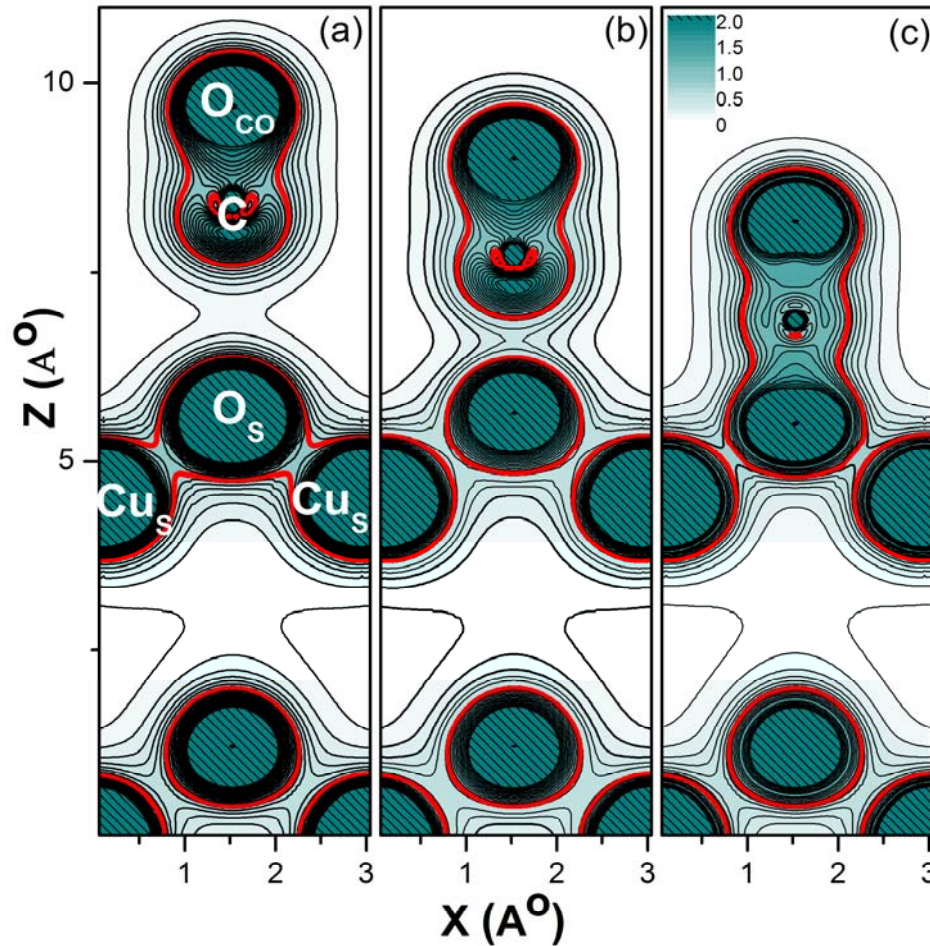
To land on surface oxygen, CO needs to overcome an energy barrier of ~ 0.4 eV.

Once CO adsorbs on the surface oxygen it forms CO_2 that spontaneously departs from the surface leaving an oxygen vacancy.

CO adsorbs on Cu without any barrier.

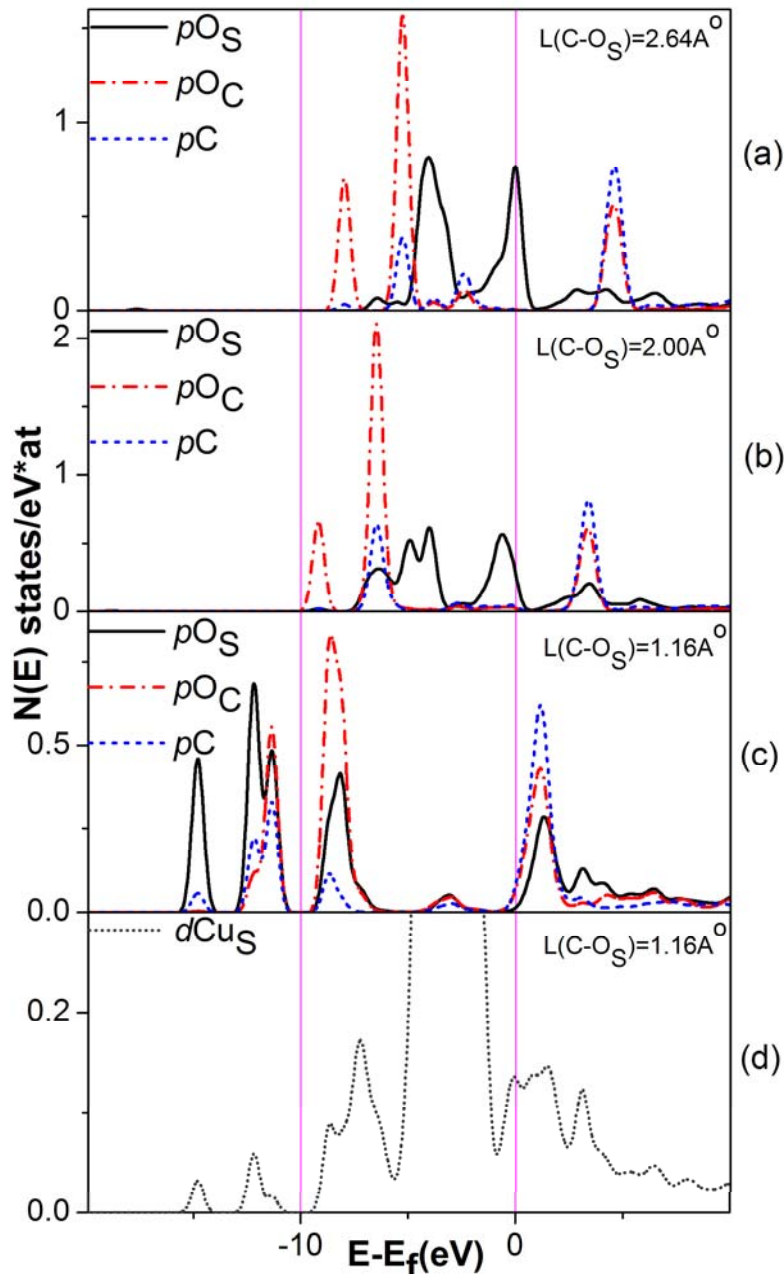
As CO adsorbs on Cu, it diffuses spontaneously to neighboring surface oxygen to be oxidized spontaneously as explained above.

Valence charge density



As CO is approaching the surface, a strong covalent bond is formed between C and surface oxygen that makes CO_2 ready to desorb

Local Density of Electronic States



As CO is approaches the surface, p -states of surface oxygen (black lines) and p -states of C (red lines) strongly hybridize (see substantial splitting).

Occupied bonding states of O_{surf} and non-occupied anti-bonding states of C formed, causing strong covalent C – O_{surf} bonding

Cu d -states are only slightly admixed to the band indicating weak Cu- O_{surf} bonding

What next?

CO is oxidized on $\text{Cu}_2\text{O}(100)$ by consuming surface oxygen. O-vacancies left on the surface and change its properties.

The composition of $\text{Cu}_2\text{O}(100)$ and its catalytic activity may be restored by dissociative adsorption of gaseous O_2 on the surface.

To model this important process we need to:

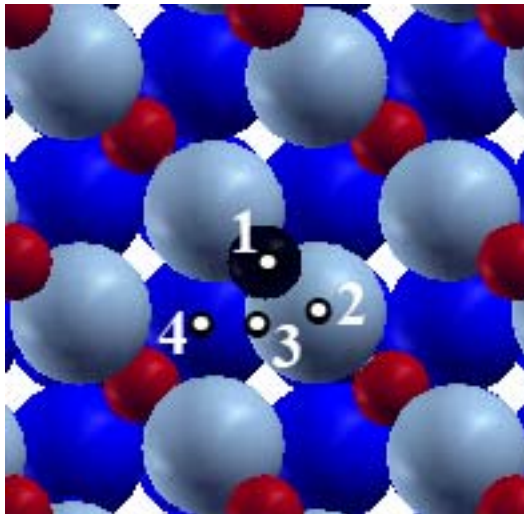
1. Calculate the electronic and geometric structure of the surface with O-vacancies;

2. Obtain geometry and energy of molecular O_2 on the defected surface;

3. Locate the final state of O_2 dissociation on the surface;

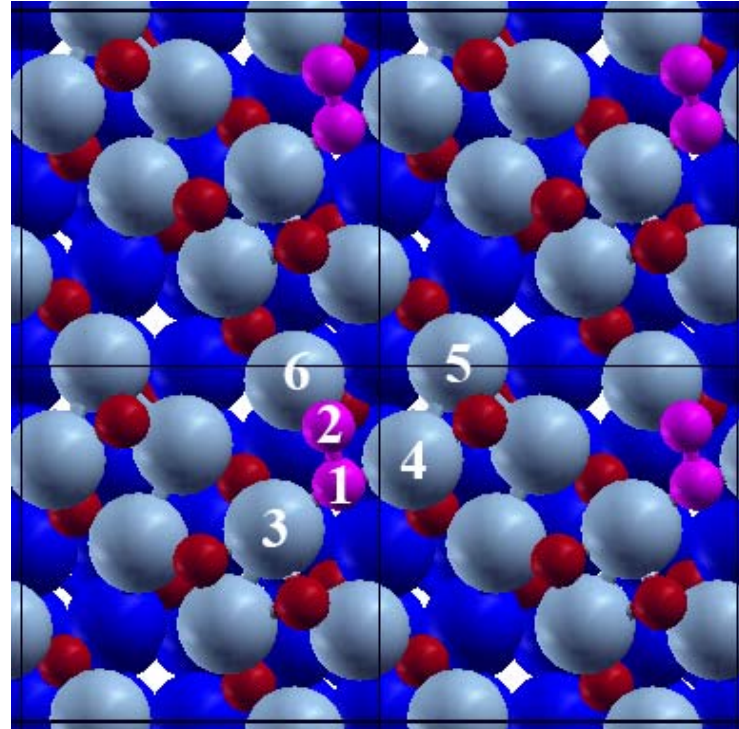
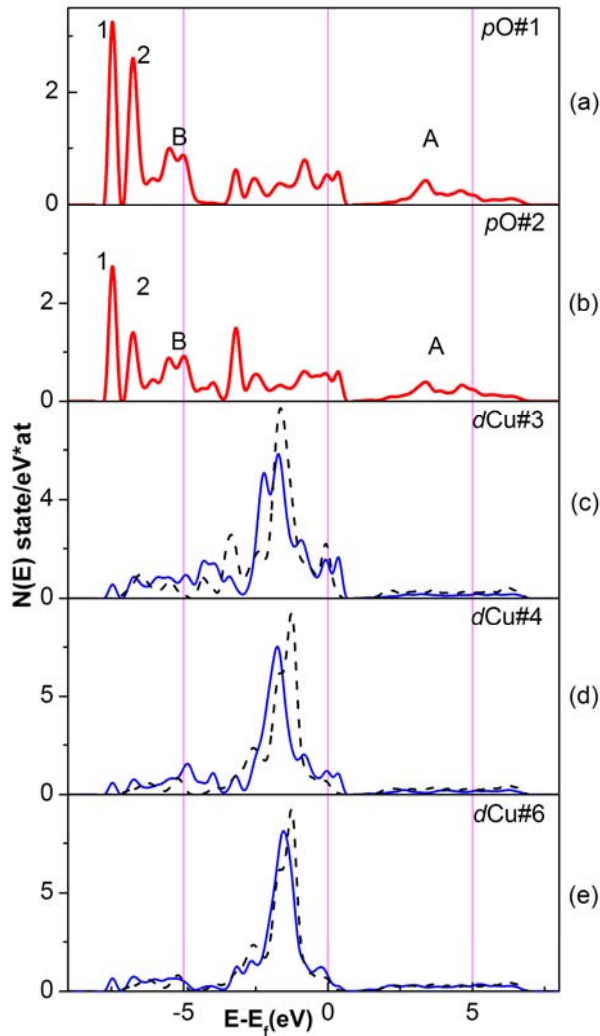
4. Calculate the pathway and activation energy barrier for O_2 dissociation on the defected surface.

O₂ adsorption sites on Cu₂O(100) with O-vacancies



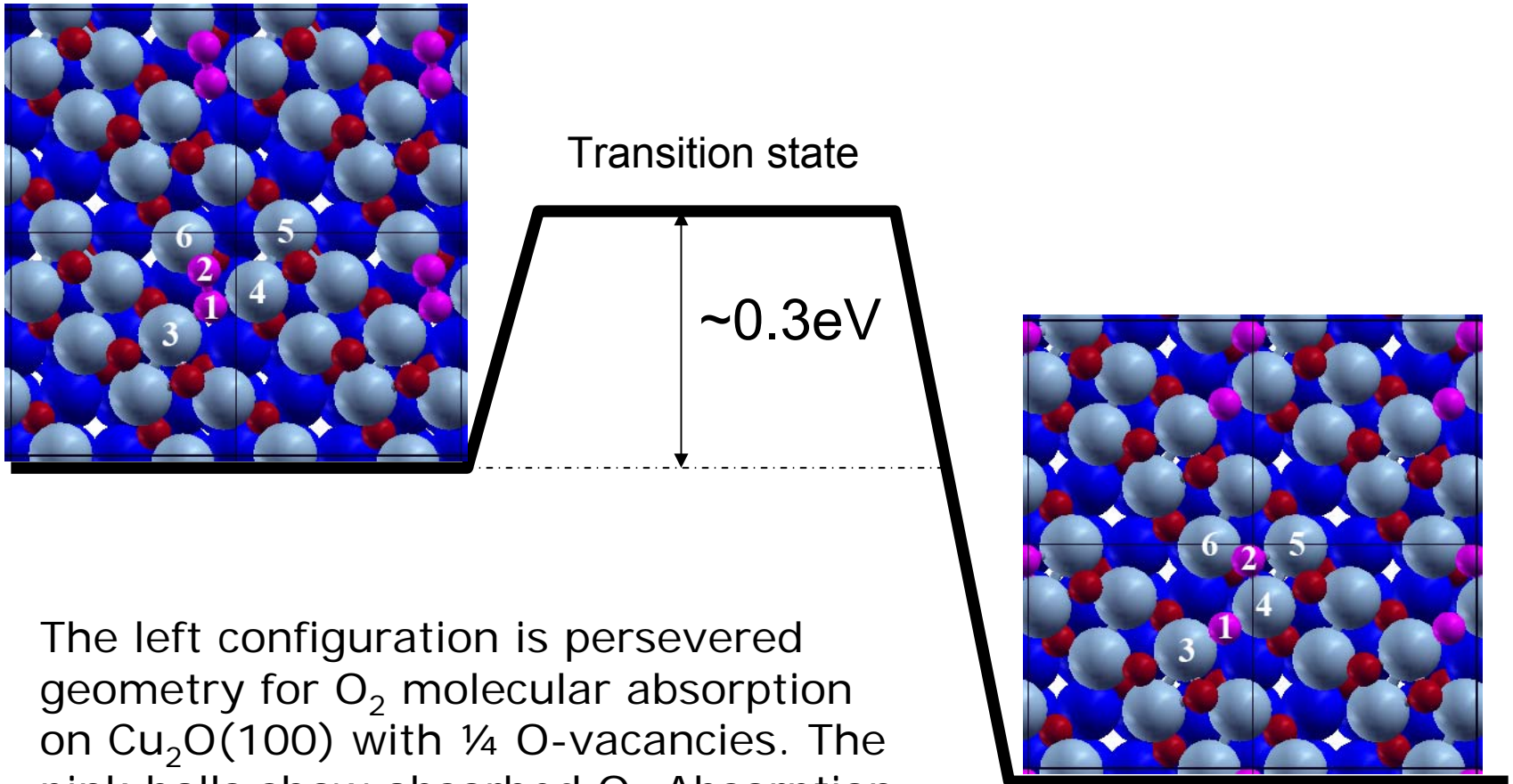
Site	Landing	Adsorption energy
1	Perpendicular Parallel	-1.66 -2.08
2	Perpendicular Parallel	-0.87 No adsorption
3	Perpendicular Parallel	No adsorption -3.37
4	Perpendicular	No adsorption

O₂ absorption on Cu₂O(100)



Adsorption energy is found to be **-3.37 eV**.

Activation Barrier



The left configuration is preferred geometry for O_2 molecular absorption on $Cu_2O(100)$ with $\frac{1}{4}$ O-vacancies. The pink balls show adsorbed O_2 . Absorption energy is found to be $-3.37 eV$. The right configuration is preferred geometry for dissociated O_2 . Activation barrier is about $0.3 eV$.

Conclusion

- ➡ O-termination is preferred for entire (p,T) region of existence of Cu_2O
- ➡ Adsorbing on surface oxygen, CO overcomes a ~ 0.4 eV activation barrier;
- ➡ CO adsorbs on Cu without any barrier;
- ➡ Once CO adsorbs on Cu, it diffuses spontaneously to neighboring surface oxygen;
- ➡ **CO is spontaneously oxidized on $\text{Cu}_2\text{O}(100)$ by consuming surface oxygen.**
- ➡ O_2 adsorbs on the defected Cu_2O with the adsorption energy of -3.37 eV.
- ➡ O_2 dissociation barrier is found to be ~ 0.3 eV

Examples from Nanoscience

- **Reactivity of a nanosystem**
Pt islets on Ru(0001)
- **Vibrational dynamics of a nanosystem**
Transition metal nanoparticles
- **Electronic Structure of a nanoalloy**
Ag/Cu nanoparticles

Fuel Cells

Basic principles like for electrochemical battery.
Difference – in a fuel cell, the chemical energy is provided by a fuel and an *oxidant* stored outside

Despite their modern high-tech aura, fuel cells have been known for more than 150 years.



Sir William Robert Grove is known as
“Father of the ***Fuel Cell.***” (1839)

A real fuel cell

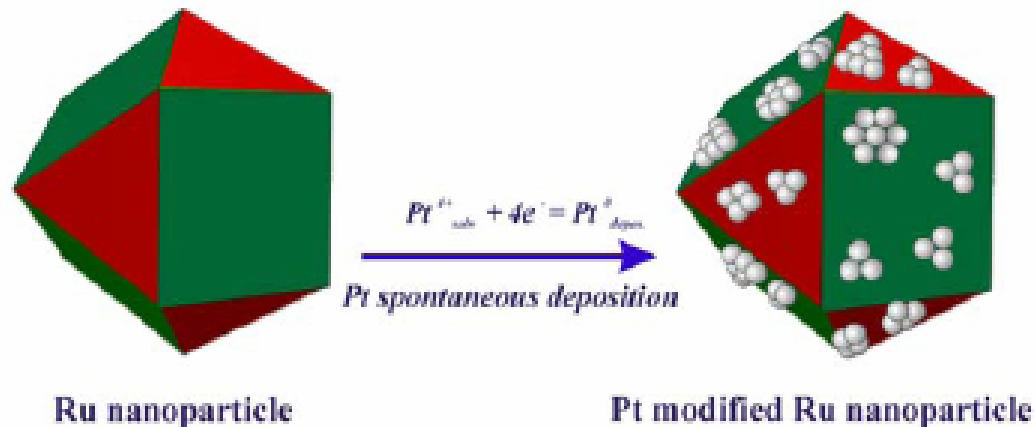




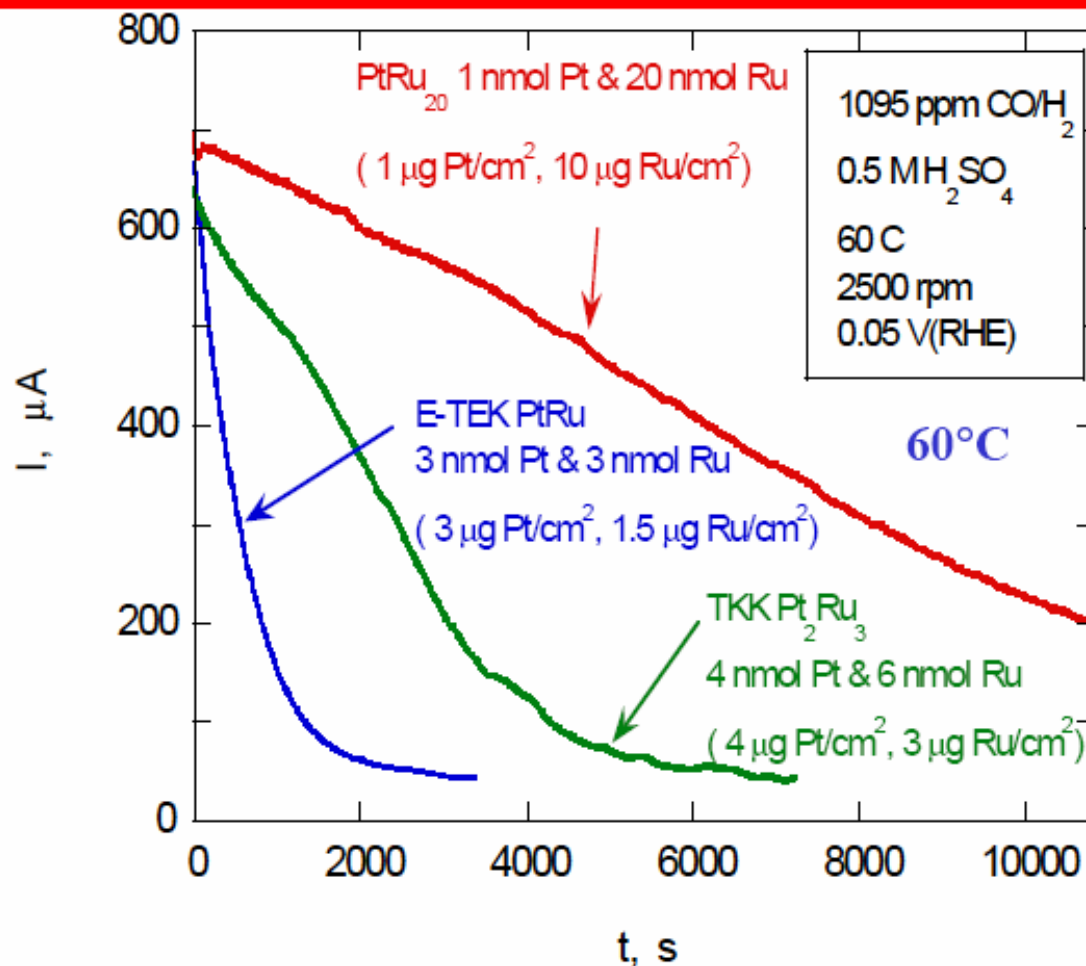
Traces of CO inevitably present in hydrogen obtained from natural gas block active sites of Pt anode of hydrogen fuel cell and this way suppress hydrogen oxidation. Alloying Ru or some other metals just slightly improve the CO tolerance of the anode.

S. R. Brankovic, et al., *Electrochem. Sol.-St. Lett.* 4 (12) A217-A220 (2001):

Pt deposited on Ru nanoparticles spontaneously

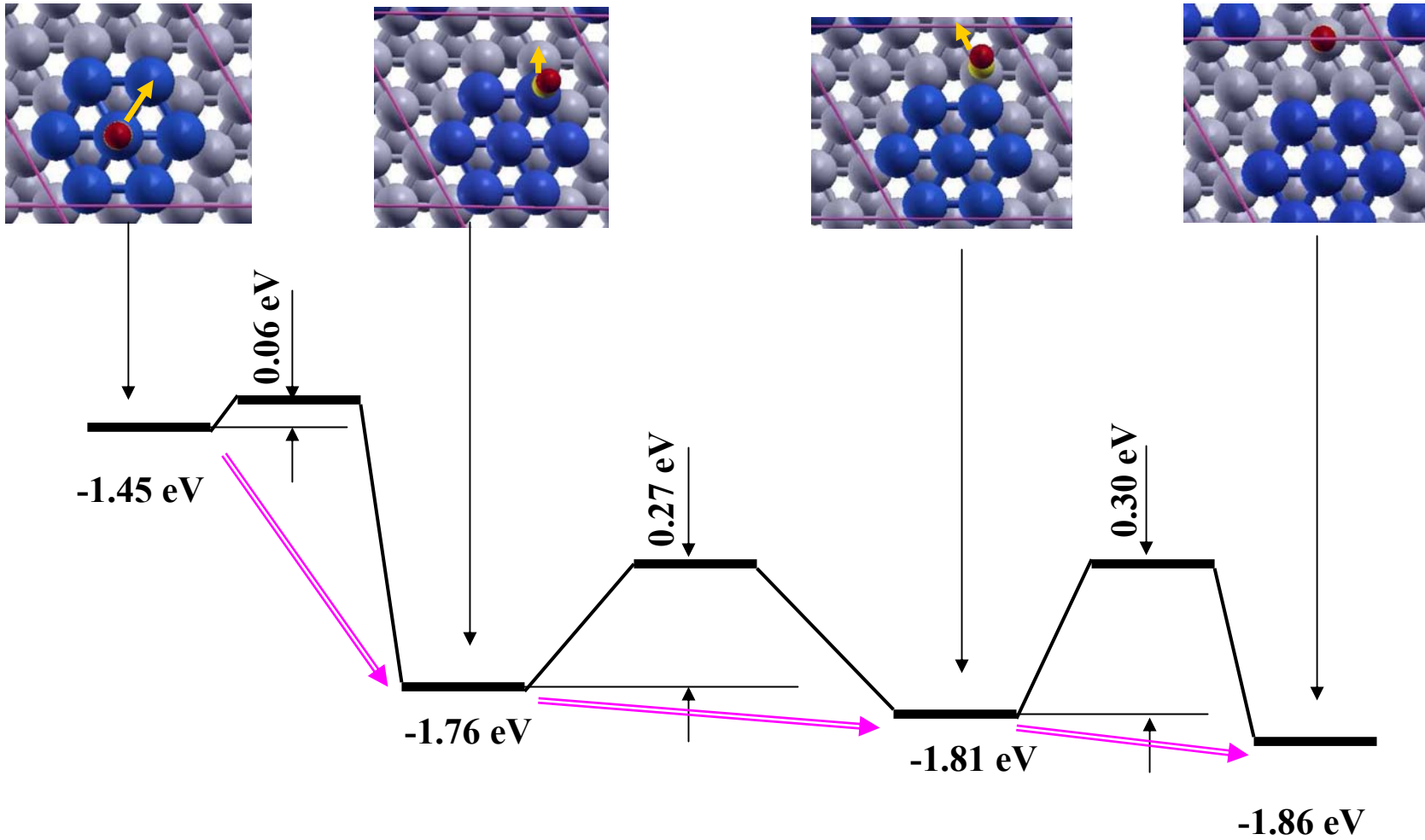


COMPARISON OF THREE ELECTROCATALYSTS FOR CO TOLERANCE



Ru nanoclusters with deposited Pt islands demonstrate much higher tolerance to CO than commercial alloys.

Why?

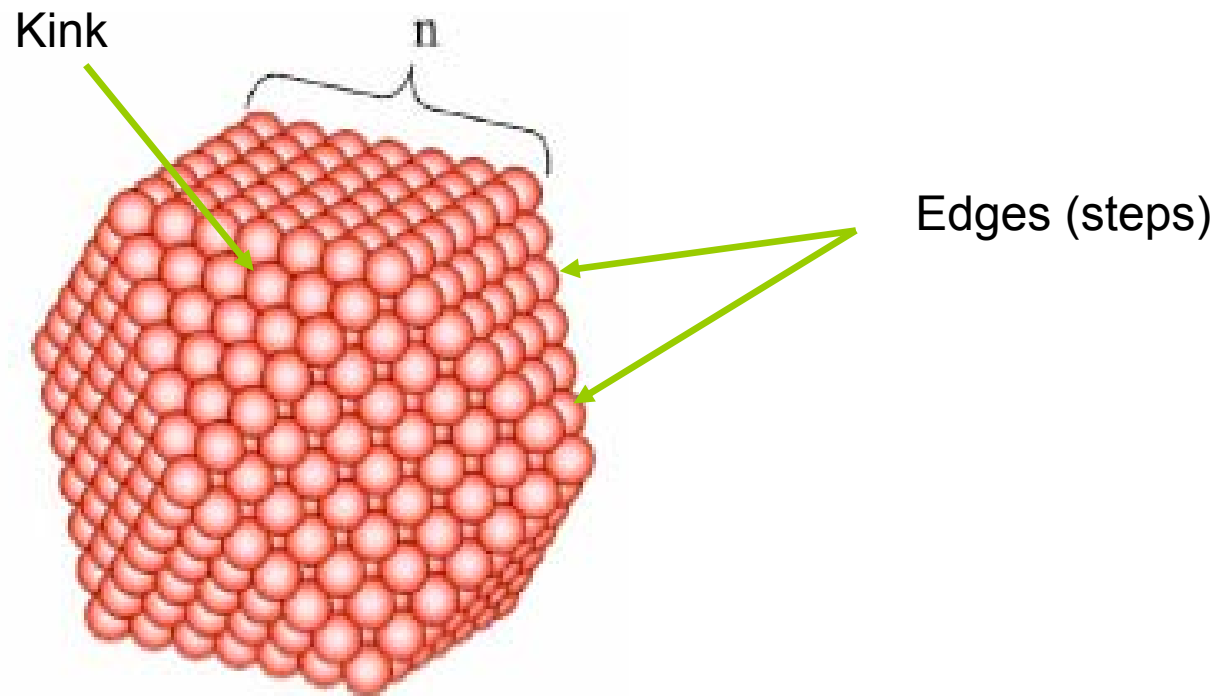


Adsorption and diffusion of CO (C-yellow, O-red) on Pt (blue) island and Ru(0001) (grey) substrate.

Thermodynamics of Metallic Nanoparticles: lattice heat capacity

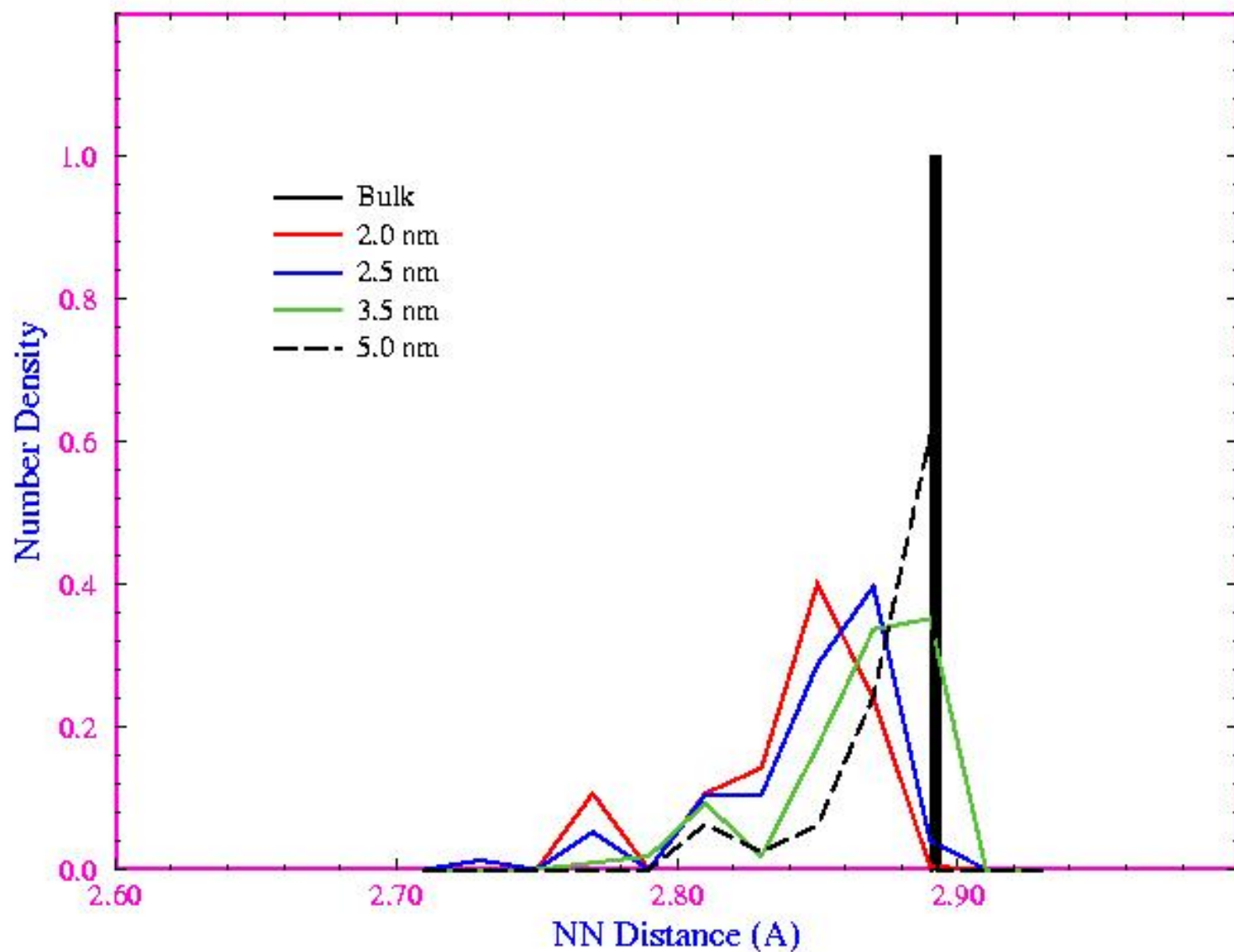


**A. Kara and T.S. R., Phys. Rev. Letters 81, 1453 (1998);
A. Kara, A. Al-Rawi, and T. S. R.,
J. Comp. and Theo. Nanoscience 1, 216 (2004).**



Ag Nanoclusters EAM-VC

NN distance distributions

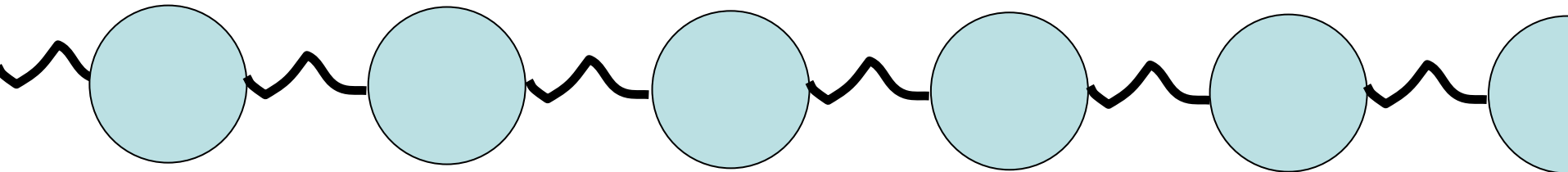


**Are the forces that bind atoms and molecules
in nanoparticles
similar to those in bulk systems?**

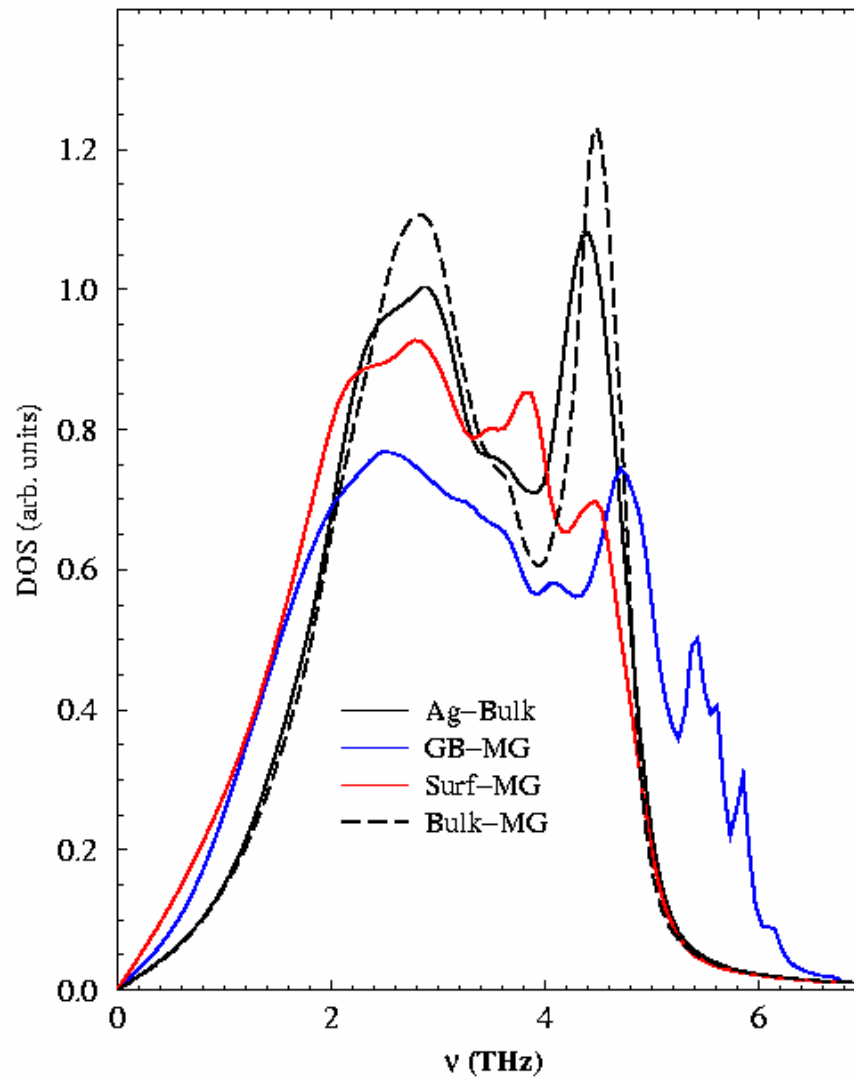
Let us look at vibrational modes in nanoparticles
We know that for a system of linear chain of atoms:

$$\omega^2 = k/m$$

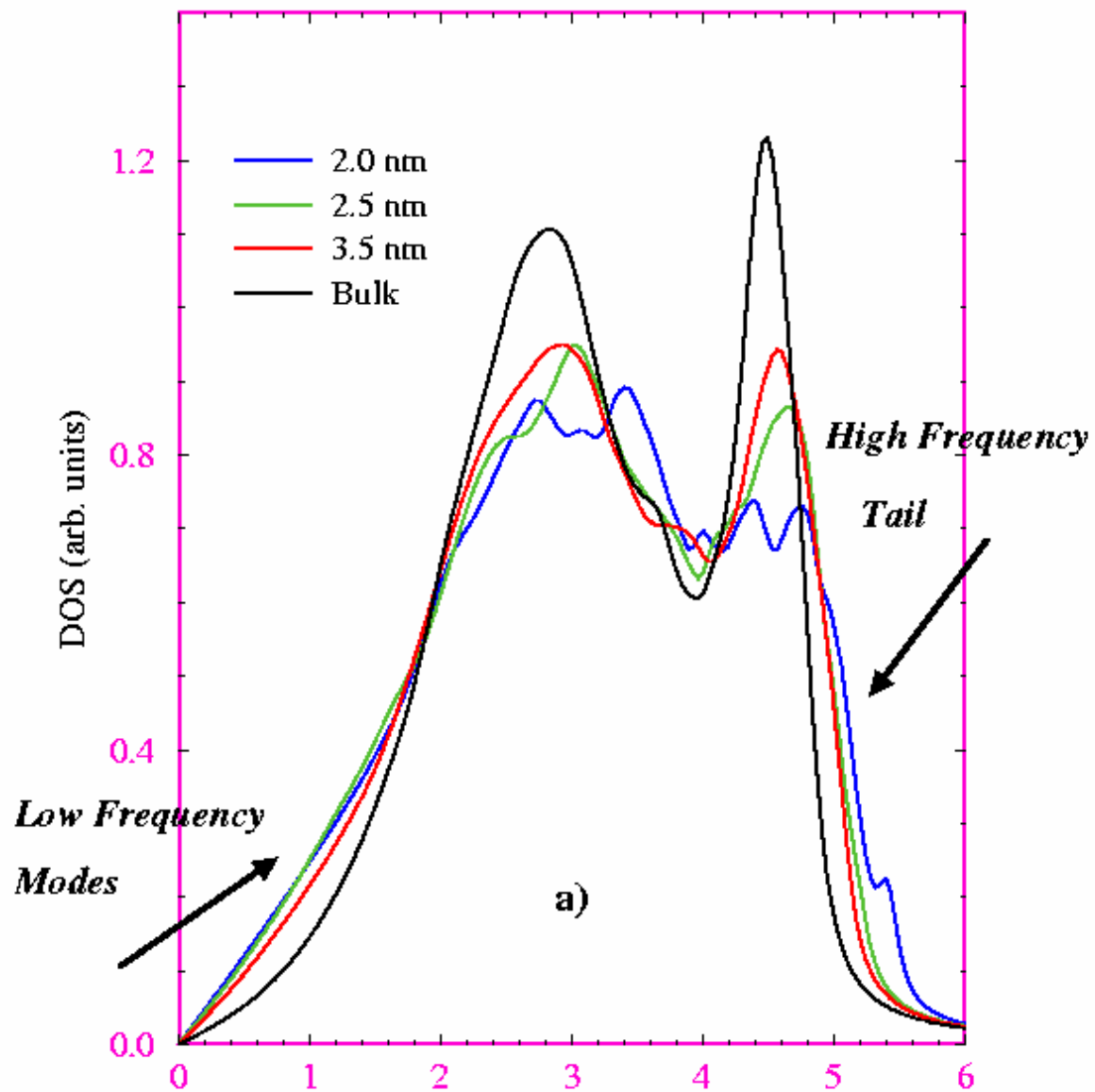
(k is the force constant and m mass)



Ag Nanocrystals (EAM)
Multi-Grained vs Bulk

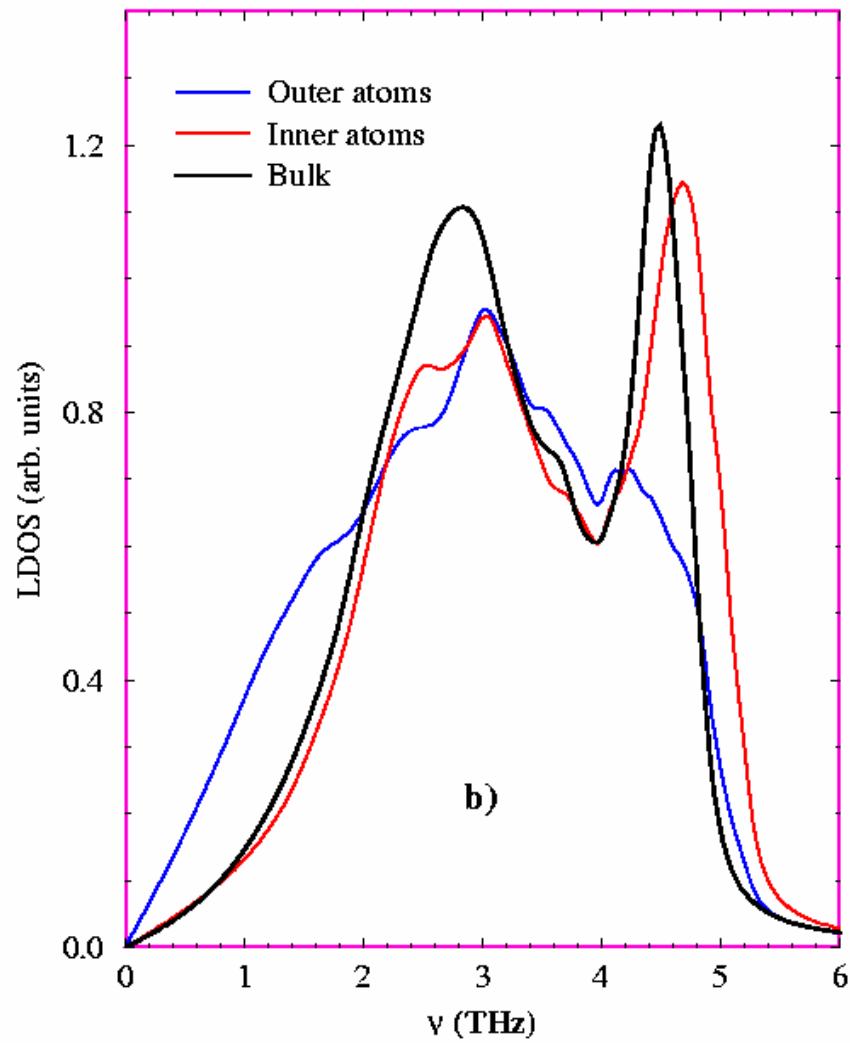


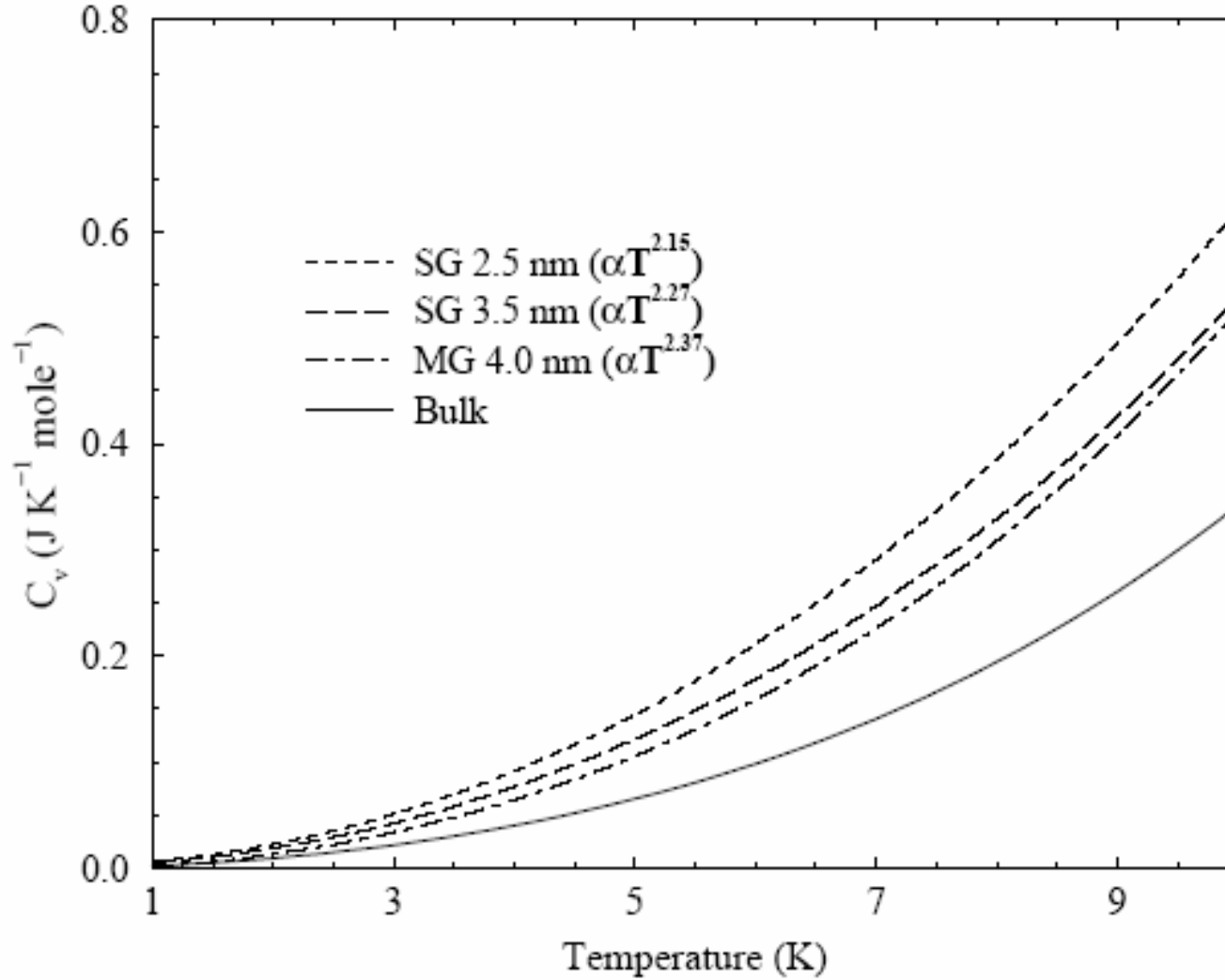
Ag Nanocrystallines EAM_VC/RSGF
Total Density Of States



Ag Nanocrystals

Linear Behavior at Low Frequencies





Temperature Variation of Heat Capacity of Nanoparticles

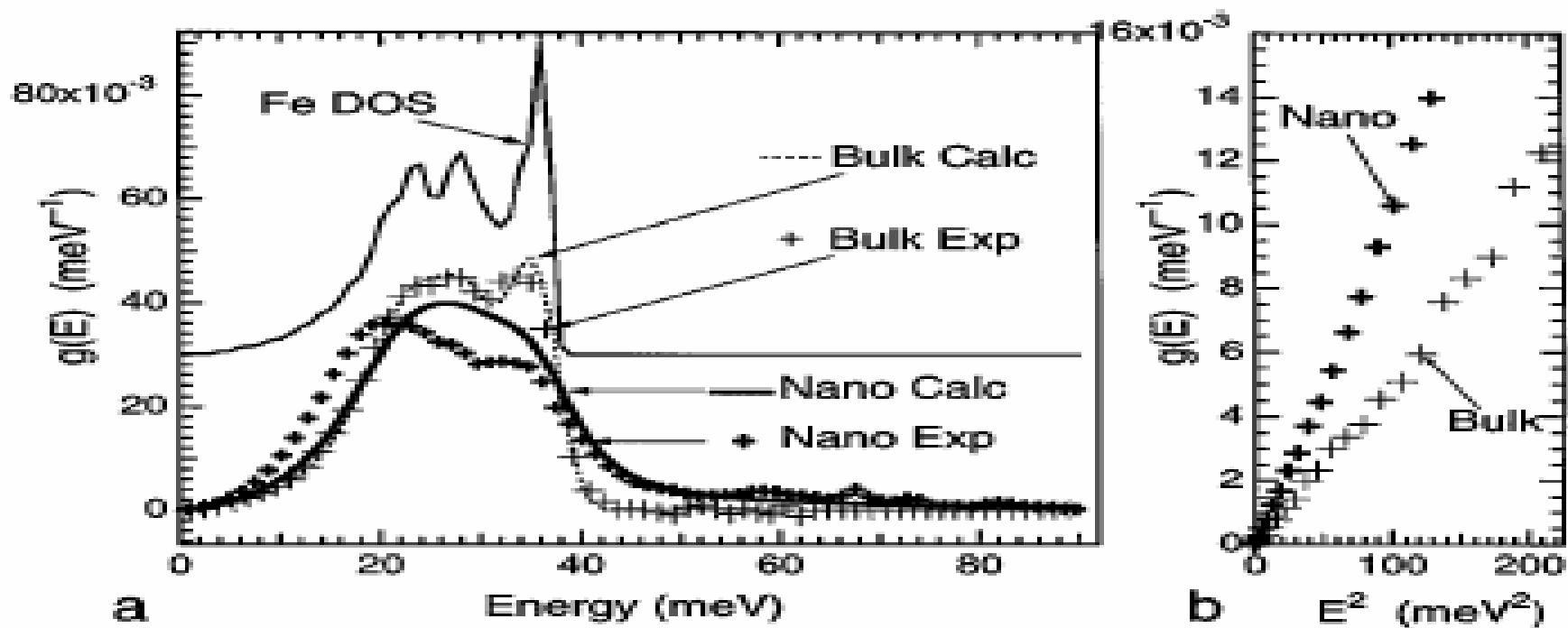
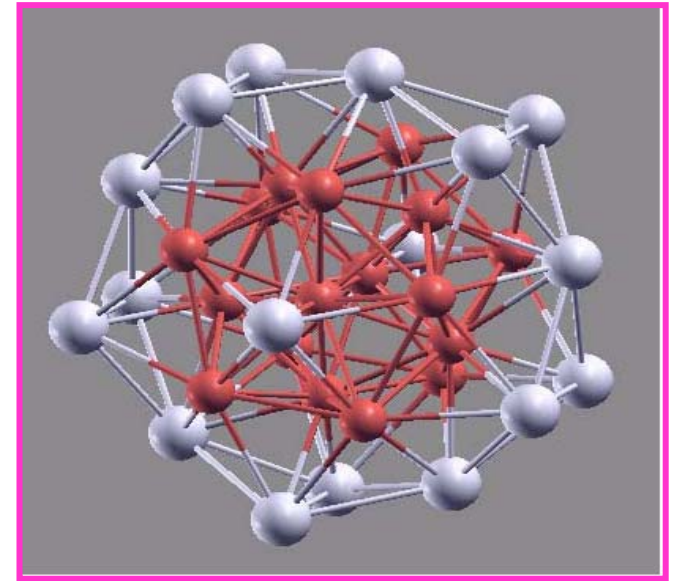
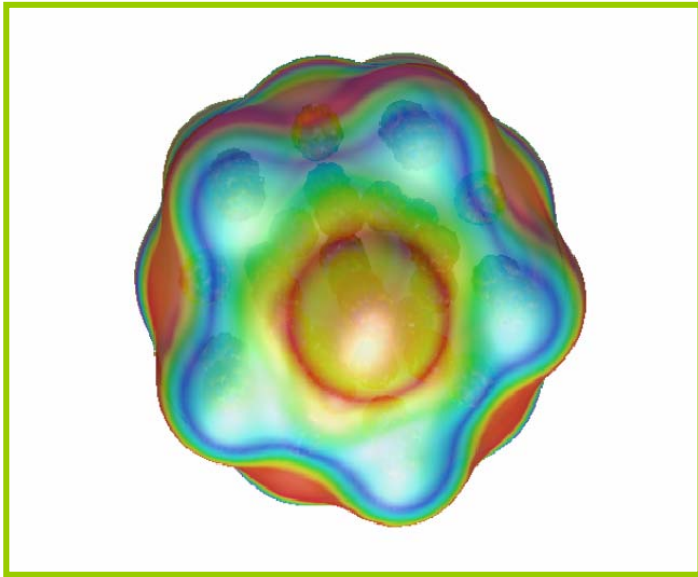


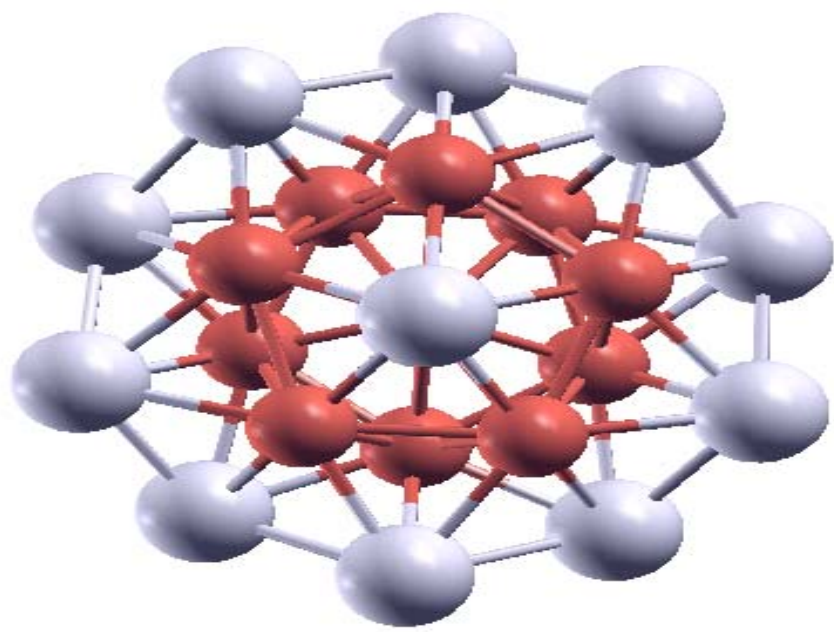
Fig. 4. (a) Top: phonon DOS of bulk bcc Fe, calculated with force constants from inelastic neutron scattering [18]. Bottom: crosses are phonon DOS curves extracted from experimental data of Fig. 4. Solid curves are calculated as described in text. (b) Enlargement of low energy part of the experimental phonon DOS curves of Fig. 4(a).

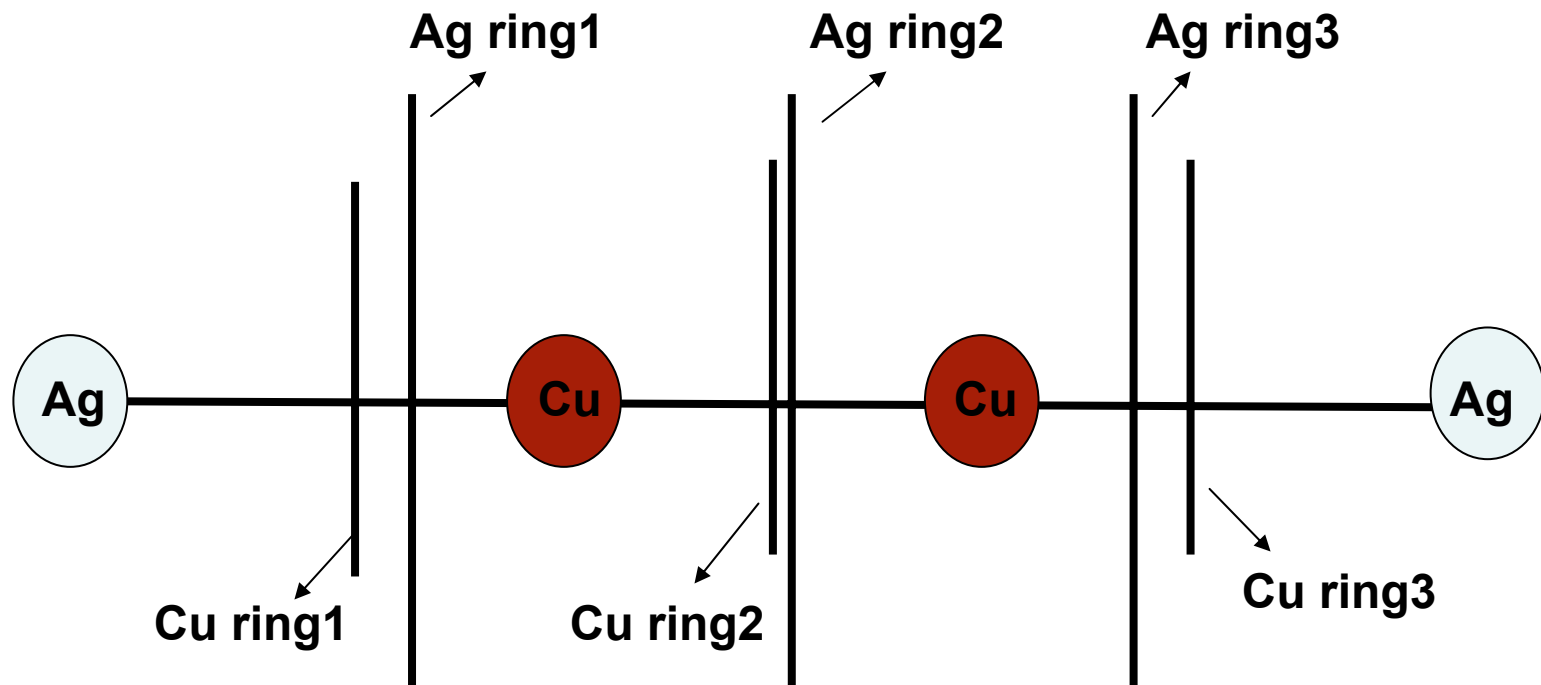
$\text{Ag}_n\text{Cu}_{34-n}$, $\text{Ag}_n\text{Cu}_{38-n}$, and $\text{Ag}_n\text{Ni}_{34-n}$, Alloy Nanoparticles

A. Kara, H. Yildirim, M. A. Ortigoza, R. Ferrando and TSR

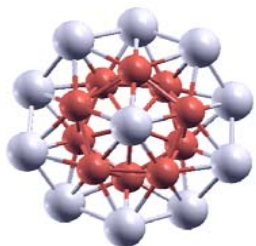


Ag₁₇Cu₁₇



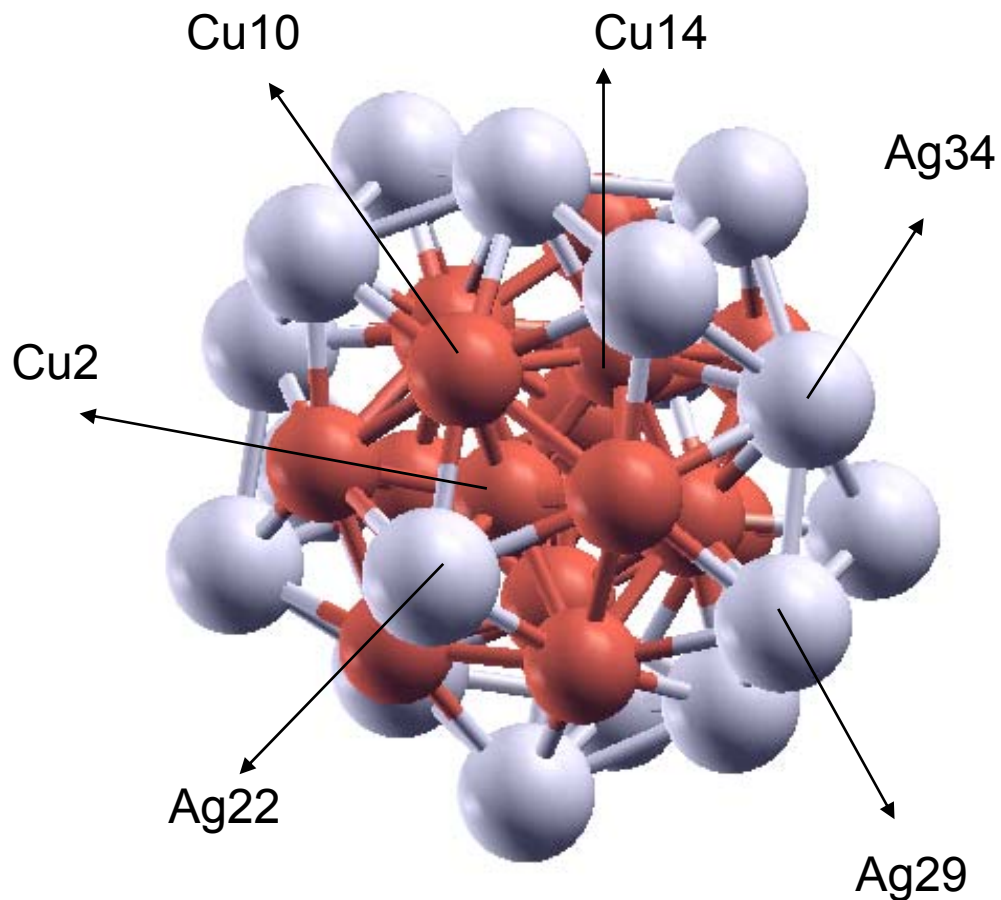


Ag17Cu17



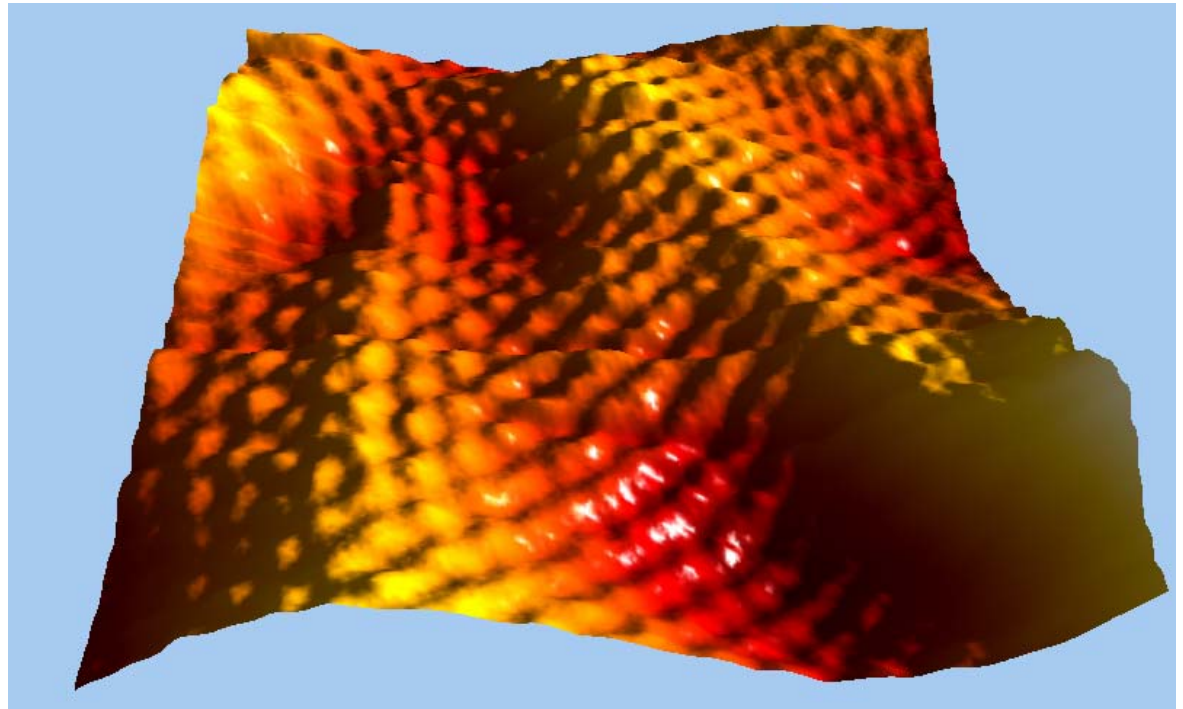
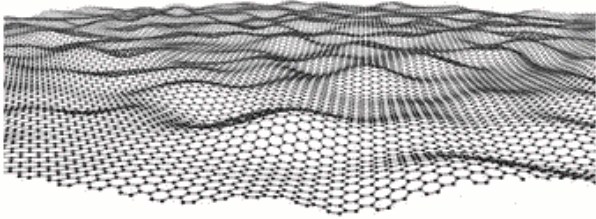
atom	tot-nn	Cu-nn	Ag-nn
Cu2	12	11	1
Cu10 R1	9	5	4
Cu14 R2	12	8	4
Ag22	6	6	0
Ag29	5	3	2
Ag34	8	4	4

The 6 non-equivalent atoms in Ag17Cu17



Back to the origins

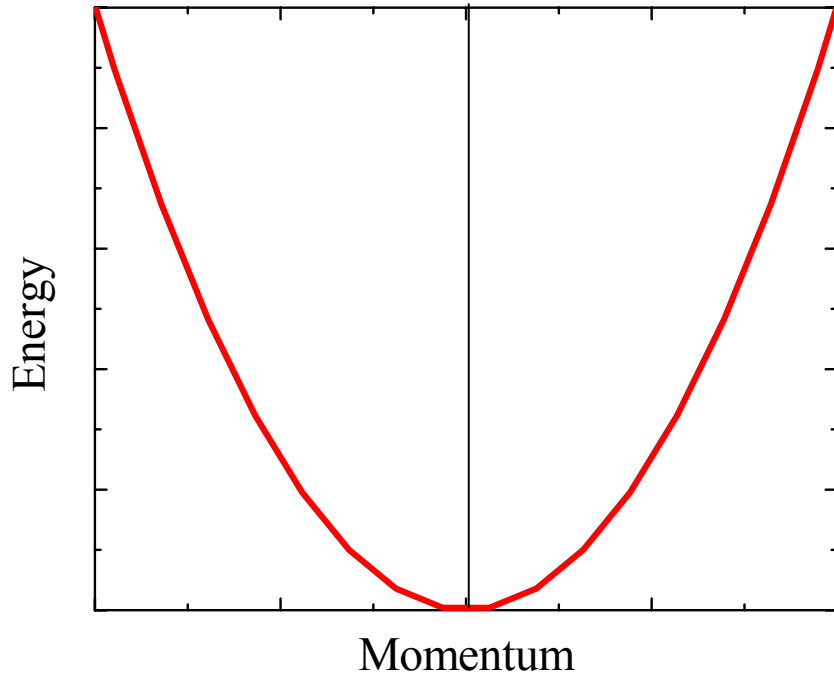
The ever-unfolding story of Graphene



Observations of structural and electronic disorder

— from von Klitzing et al and Ishigami et al

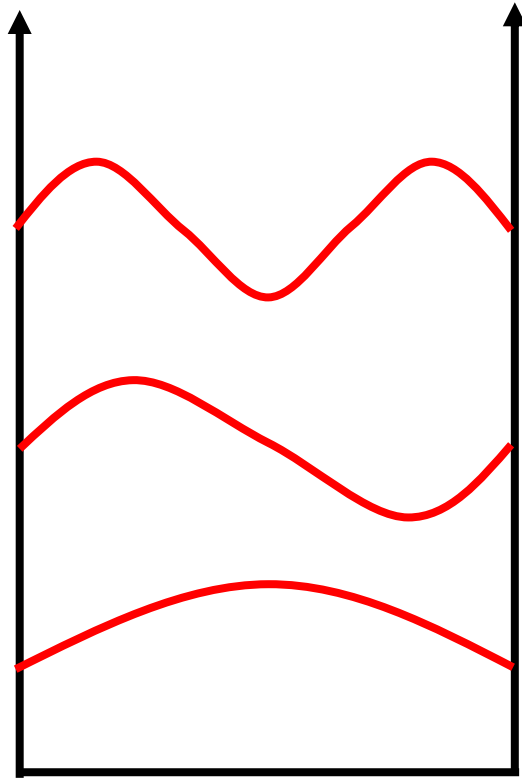
DOS and Dispersion Relationship



$$E = \frac{\eta^2 k^2}{2m}$$

Electronic Densities of States

Example: Quantum Well



← L →

$$\psi(x) \sim \sin(kx)$$

$$k = \frac{n\pi}{L} \quad n = 1, 2, 3, \dots$$

$$E = \frac{\eta^2 k^2}{2m} \quad \left(\frac{2mE}{\eta^2}\right)^{\frac{1}{2}} = k$$

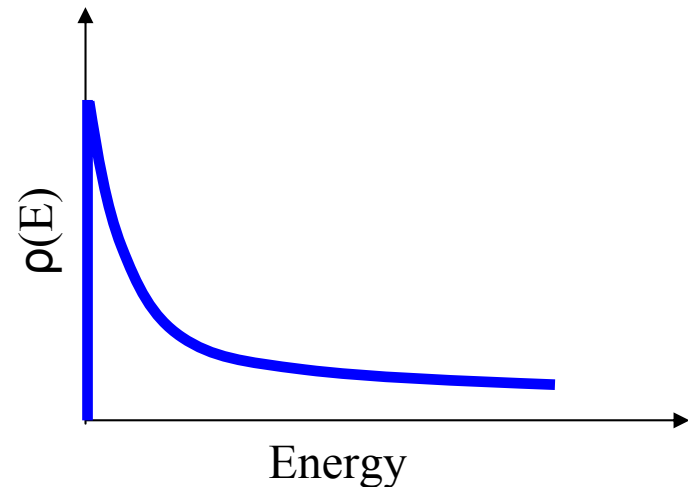
$$\left(\frac{2m}{\eta^2}\right)^{\frac{1}{2}} E^{-\frac{1}{2}} dE = dk = dn \frac{\pi}{L}$$

$$dn \sim dE \times E^{-\frac{1}{2}}$$

$$\frac{dn}{dE} \sim E^{-\frac{1}{2}}$$

Densities of States = $\rho(E)$

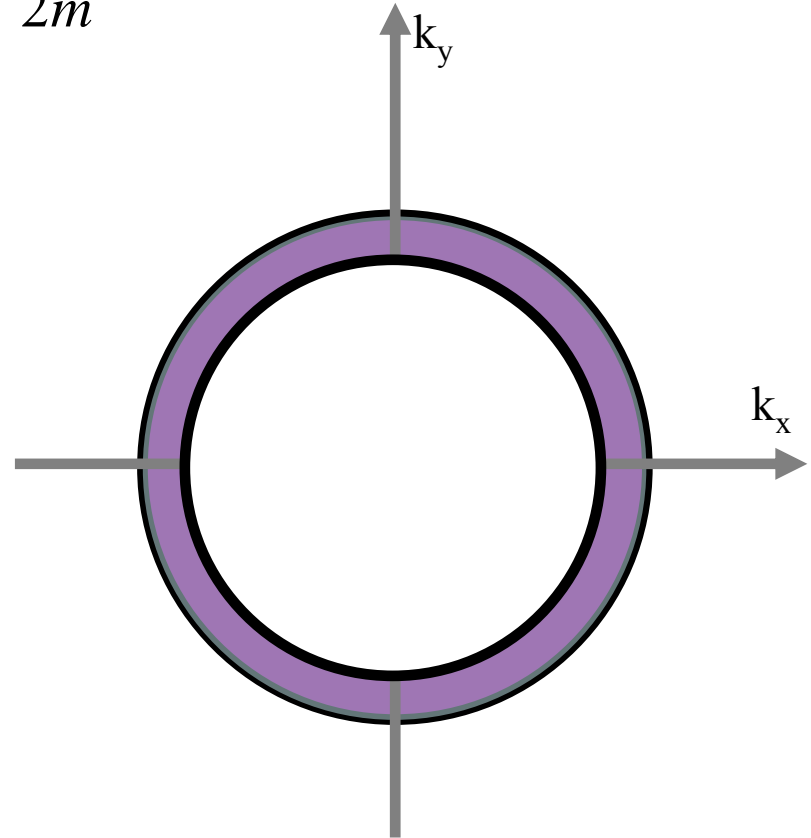
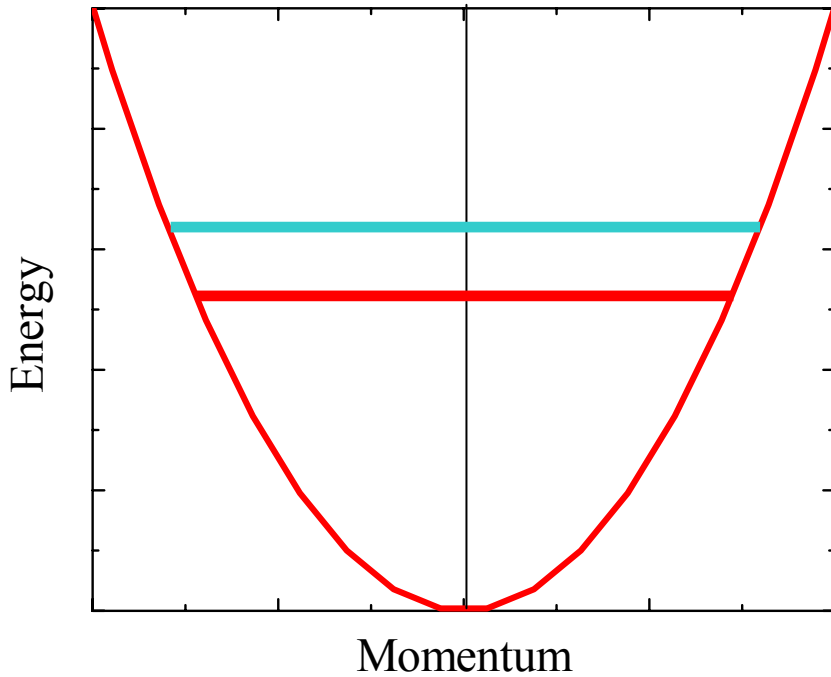
Number of quantum states available at a particular energy E



Dispersion Relationship of Free Electrons

Example: Free Electron Gas in 2D

$$E = \frac{\eta^2 k^2}{2m}$$

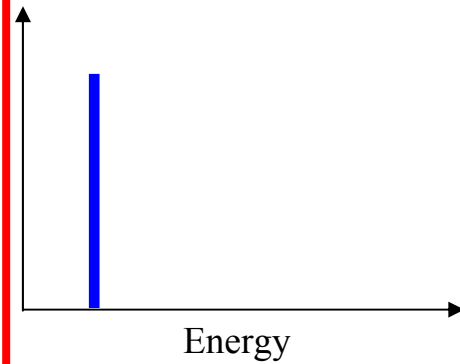
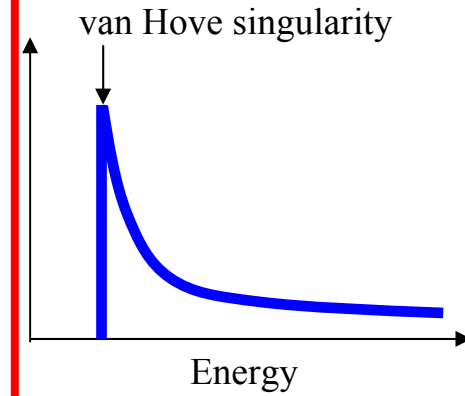
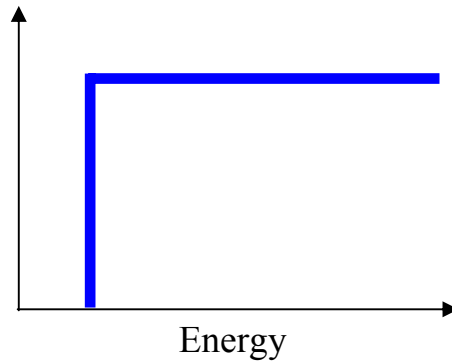
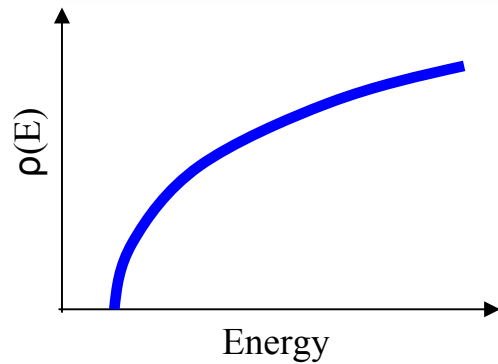
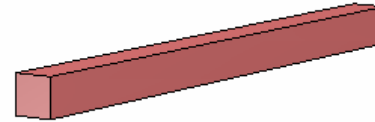
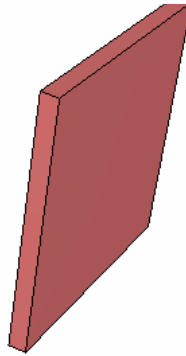
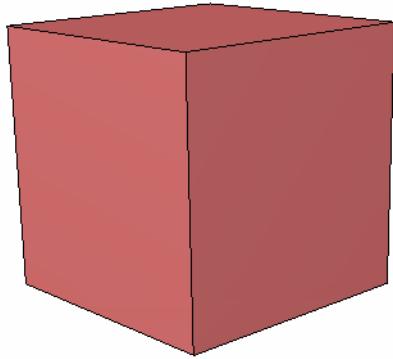
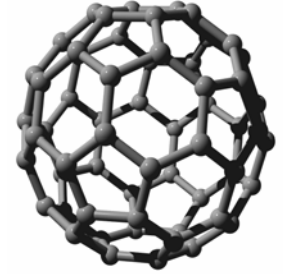
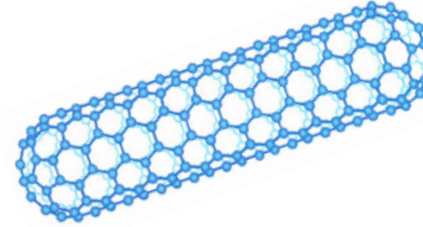
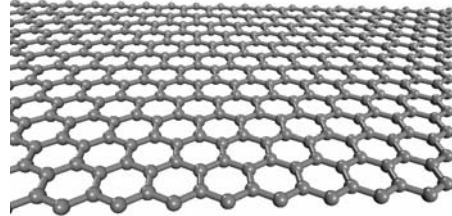
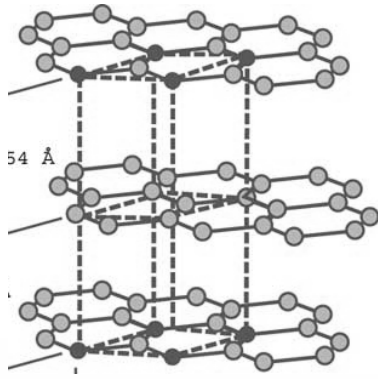


$\rho(E)dE$ = the number of allowed states between E and $E+dE$

$$\rho(E) \sim \oint \frac{dS}{|\nabla E(k)|}$$

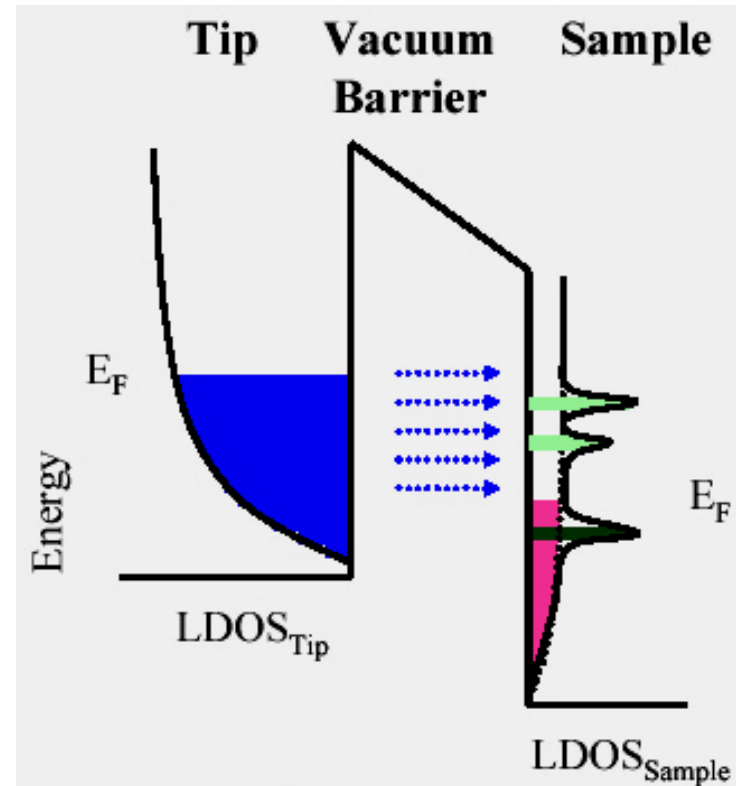
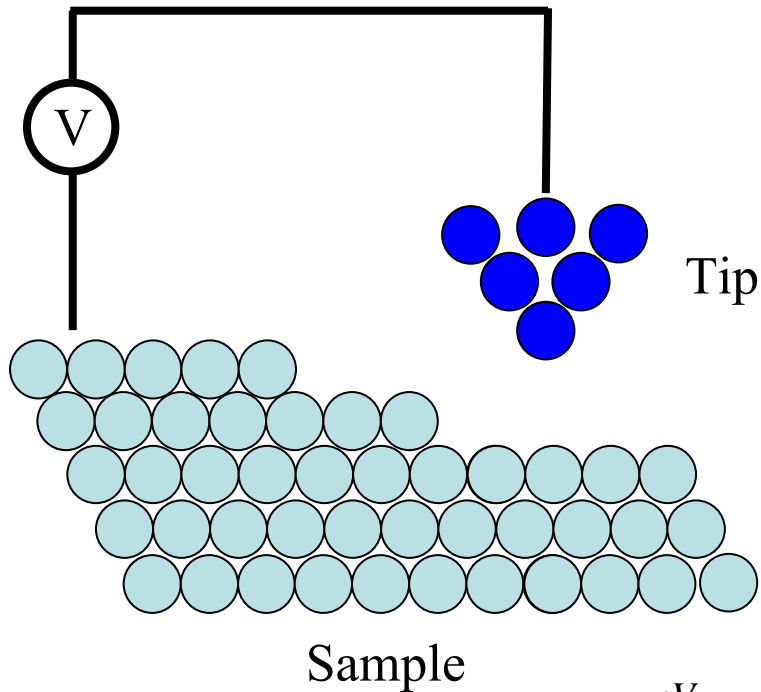
Electronic Densities of States

Densities of States = $\rho(E)$: number of quantum states available at a particular energy E



- van Hove singularities at band edges in 1-D materials

Scanning Tunneling Microscopy



$$I \sim e^{-kz} \int_0^{eV} dE \times LDOS(E_F + E)$$

- Capable of atomic scale resolution
- $dI/dV \sim$ Local Densities of States (LDOS)

STM Maps Electronic Densities of States

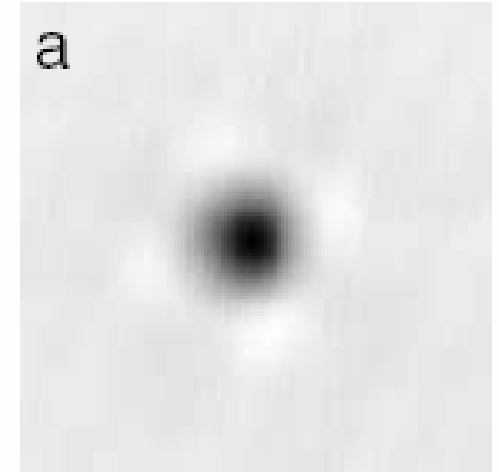


300 Angstrom square: Sample Bias 100 mV; current 0.20 nA

- Sensitive to electronic properties
- Not direct observation of atomic structures

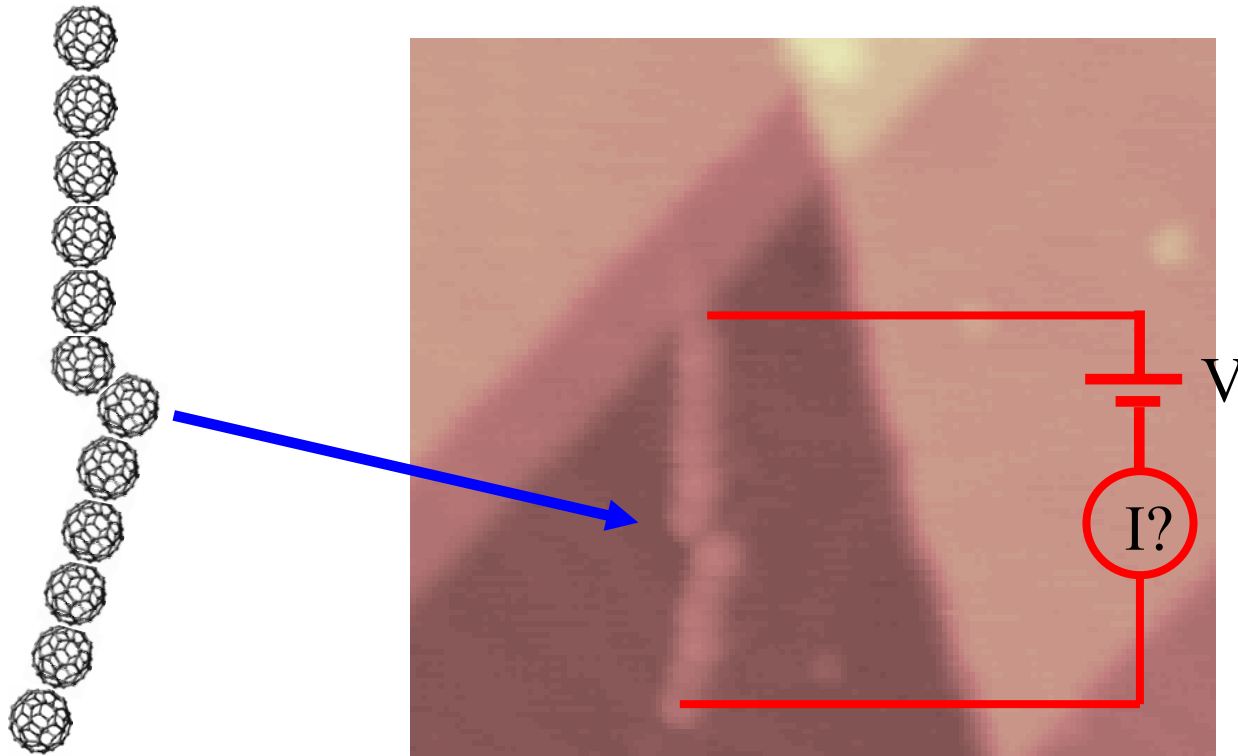
M. Ishigama et al (to be published)

Hydrogen atom on copper



[L. J. Lauhon and W. Ho, PRL 2000]

Nanoscale Materials: Ideal Proving Ground for Solid State Physics



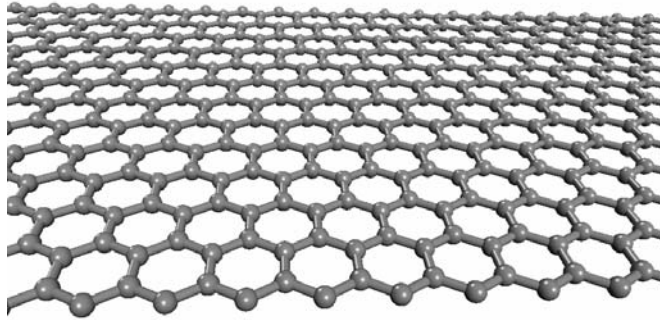
Fabricated Fullerene Chain on Surface

- Controlling materials composition/structure down to atomic scale
- Availability of testable theory for electronic, transport, thermal, and mechanical properties
- **Every atom/molecule counts**

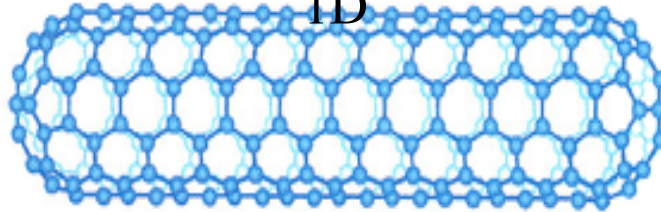
sp²-Bonded Nanoscale Materials

1																	2
H																	He
3	4											5	6	7	8	9	10
Li	Be											B	C	N	O	F	Ne
11	12											13	14	15	16	17	18
Na	Mg											Al	Si	P	S	Cl	Ar
19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
55	56	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	
Cs	Ba	L	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
87	88	A															
Fr	Ra																

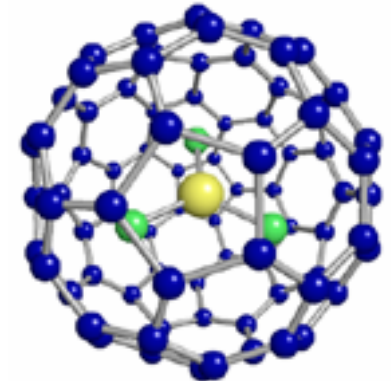
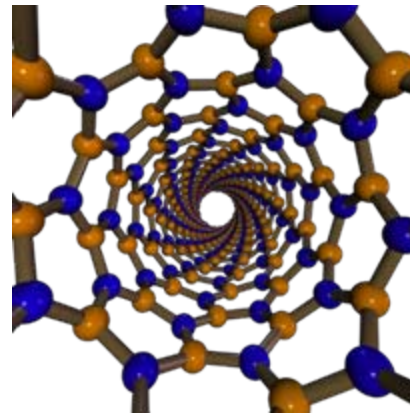
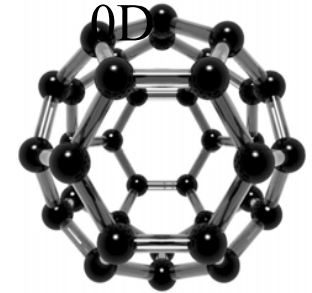
2D



1D

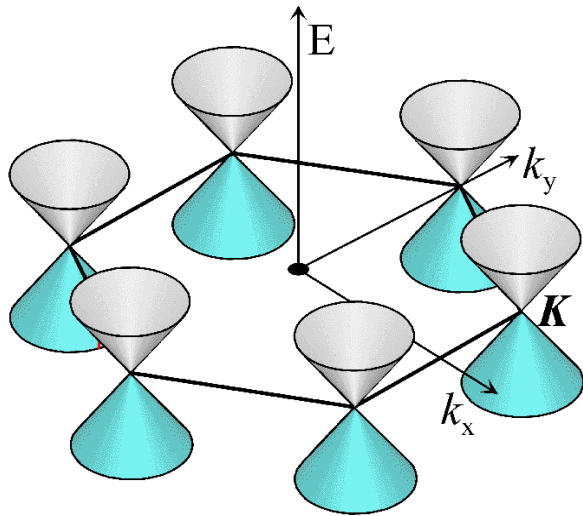
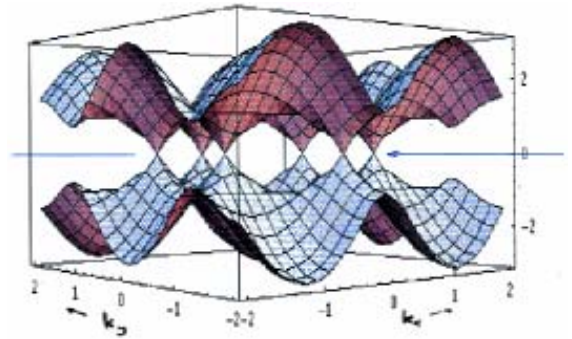
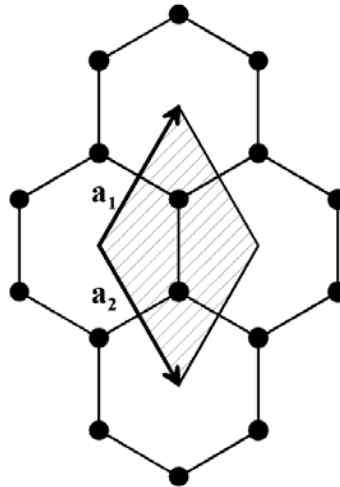
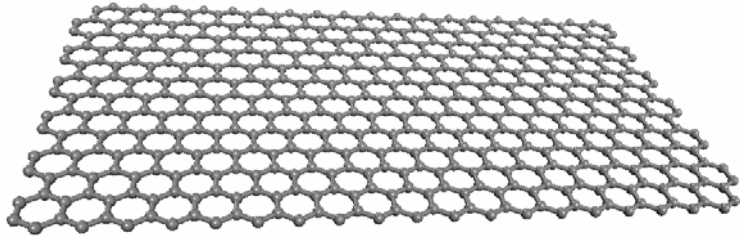


0D



- Composed of robust sp²-bonds
- There are no dangling bonds i.e. very well-ordered

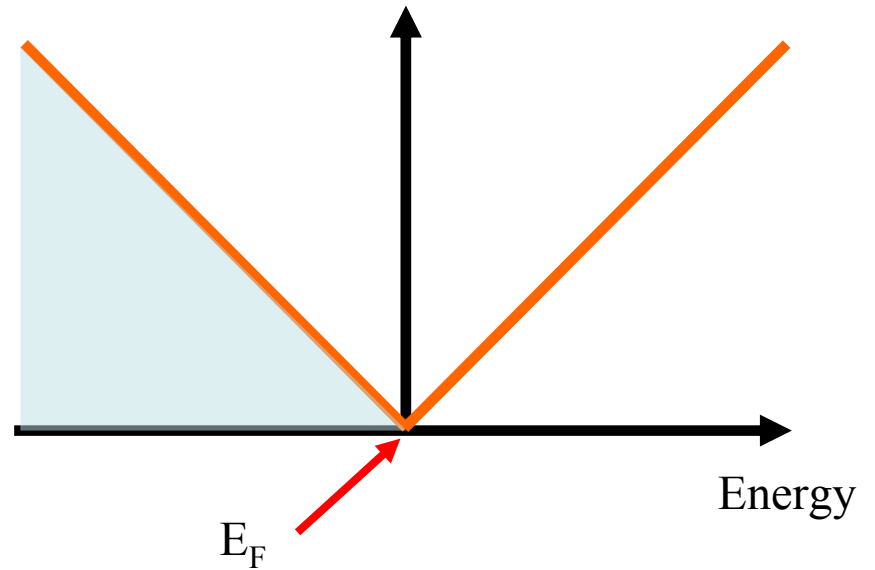
Graphene: a semi-metal



$$E = \hbar v_F |k|$$

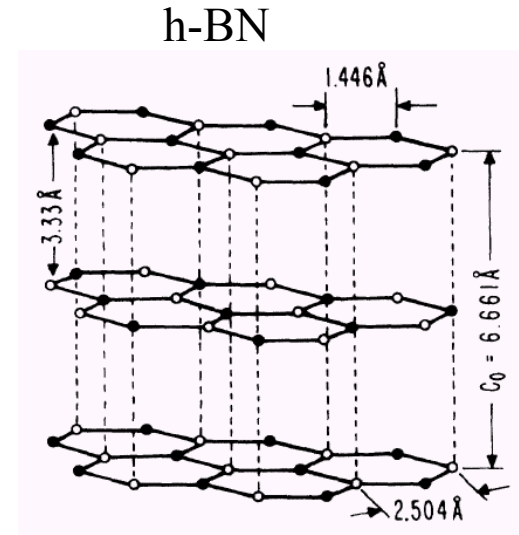
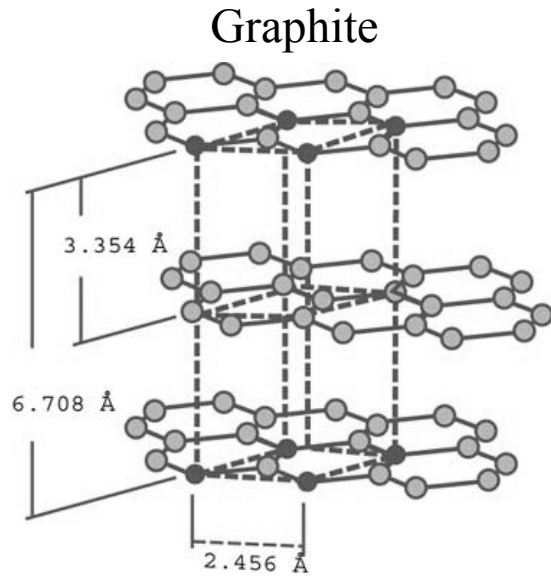
$$v_F = 9.3 \times 10^7 \text{ cm/s}$$

Electronic Densities of state

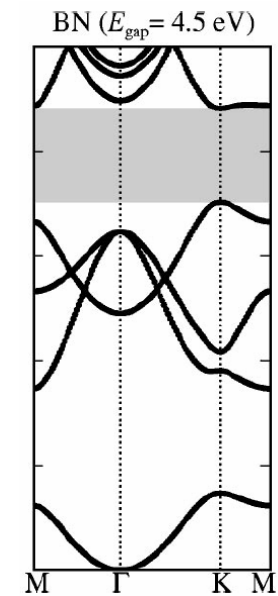
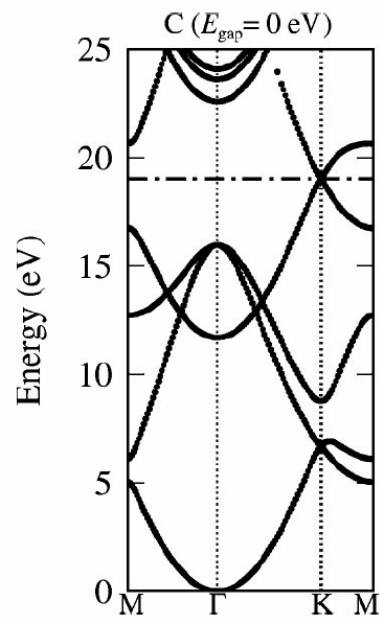


Vanishing DOS @ E_F

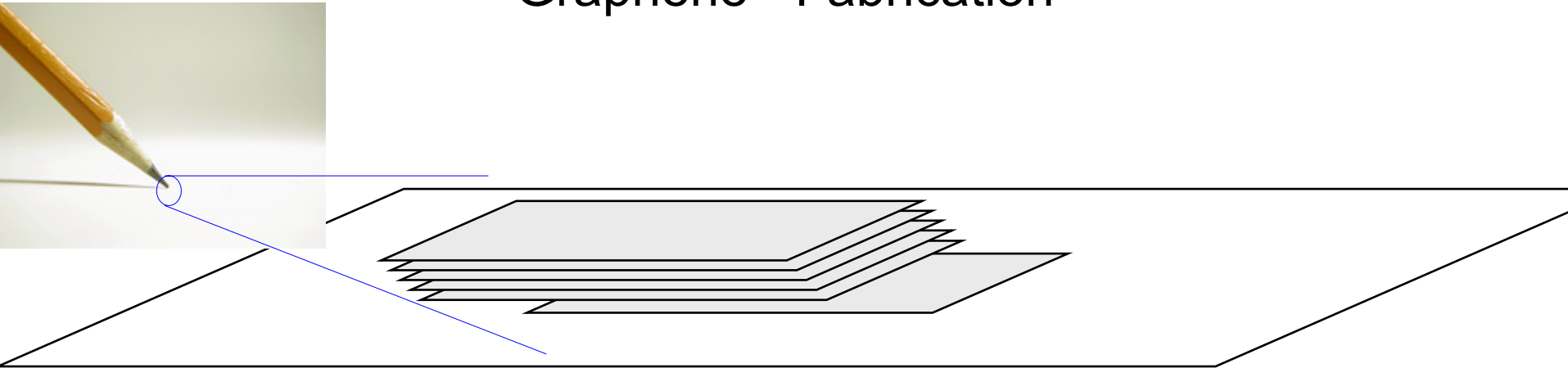
Graphite: Unique Band Crossing at Fermi Level



E. K. Sichel et al., PRB 13, 4607 (1976)

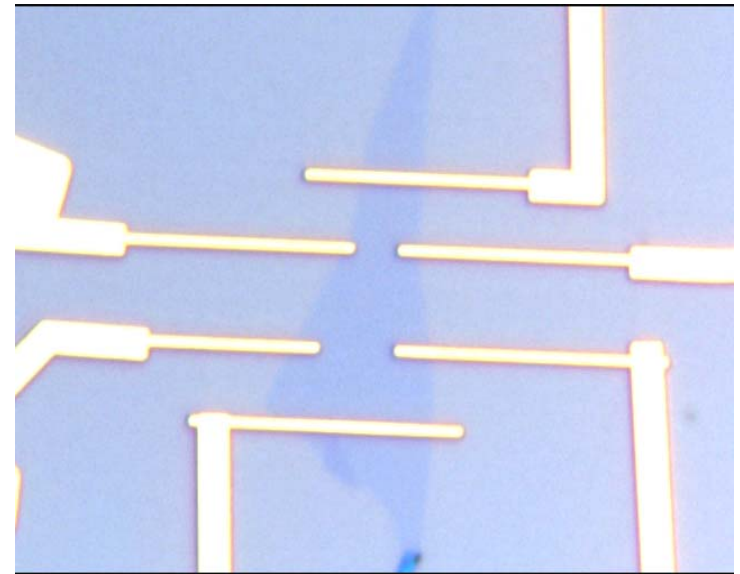


Graphene - Fabrication

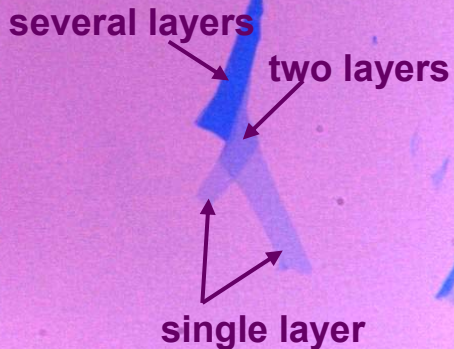


Only a little more complicated:

- Starting material is single-crystal Kish graphite
- Exfoliate to obtain very small flakes
- Rub on **flat** SiO_2/Si chips



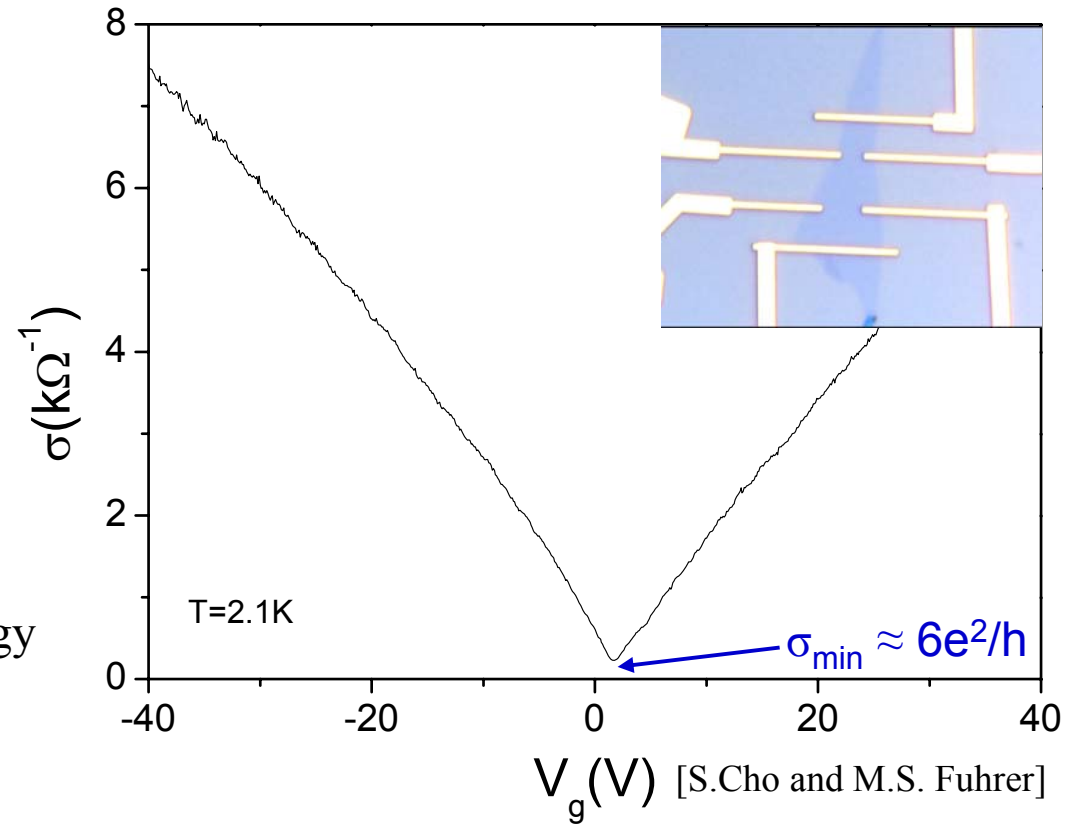
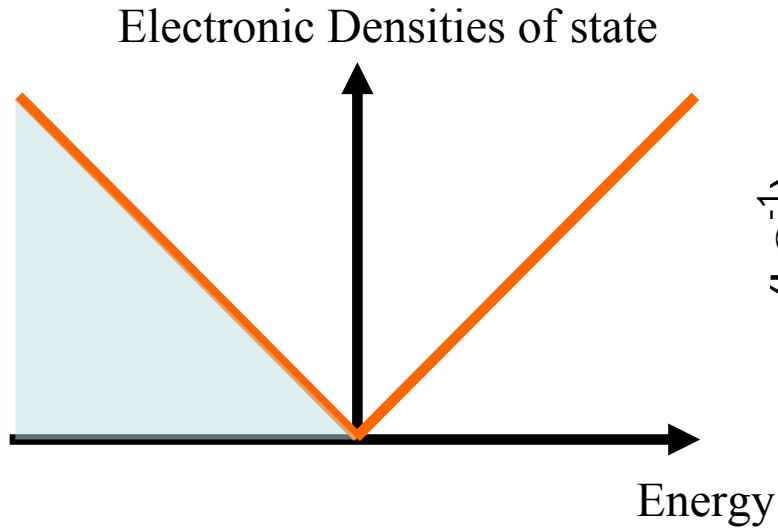
Single layer device after e-beam lithography



Optical micrograph (layer thickness verified by AFM)

Alternatively, graphene may be prepared epitaxially on SiC wafers - see Walt De Heer's group at GaTech

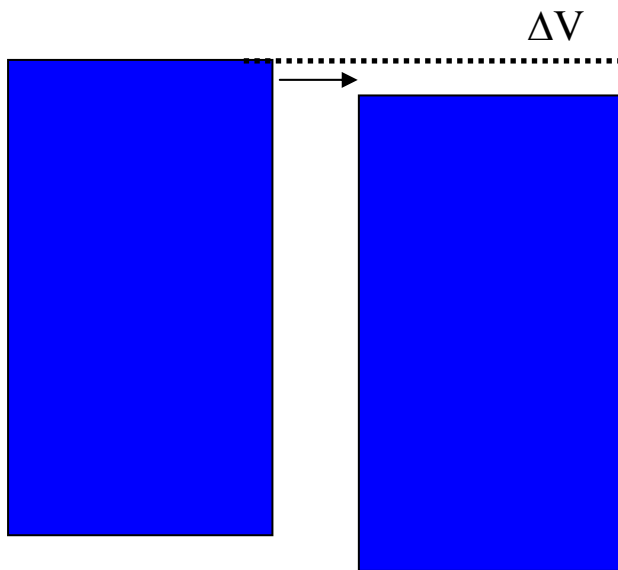
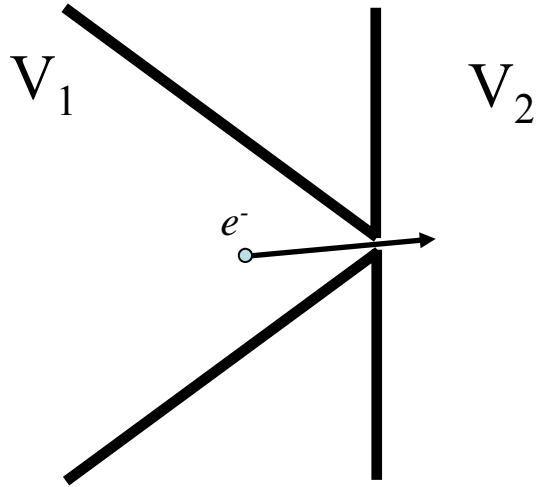
Transport Properties of Graphene



- High mobility
- Strange non-zero minimum conductivity
- Perfect material for transparent, flexible electronics

Conductance Quantum

Point Contact Geometry



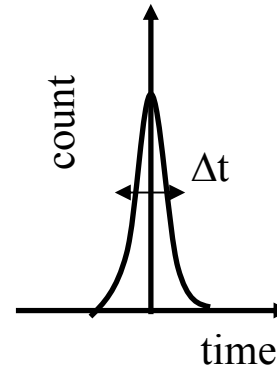
ΔE : Spread in electron energy at constriction

Δt : Spread in time spent at the junction

$$\Delta E \Delta t \sim h$$

$$\Delta E = e \Delta V$$

$$\Delta V \Delta t \sim \frac{h}{e}$$



$$\Delta I \sim e / \Delta t$$

$$\frac{\Delta V}{\Delta I} \sim \Delta R \sim \frac{h}{e^2}$$

or $G \sim \frac{e^2}{h}$

Quantized Conductance: what it means

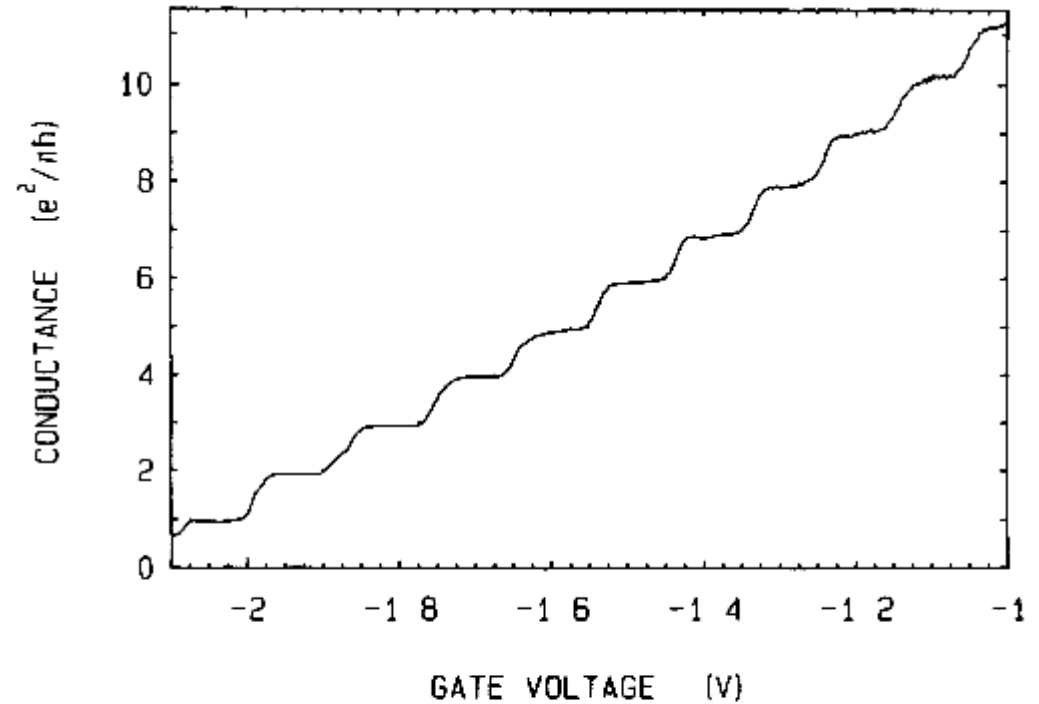
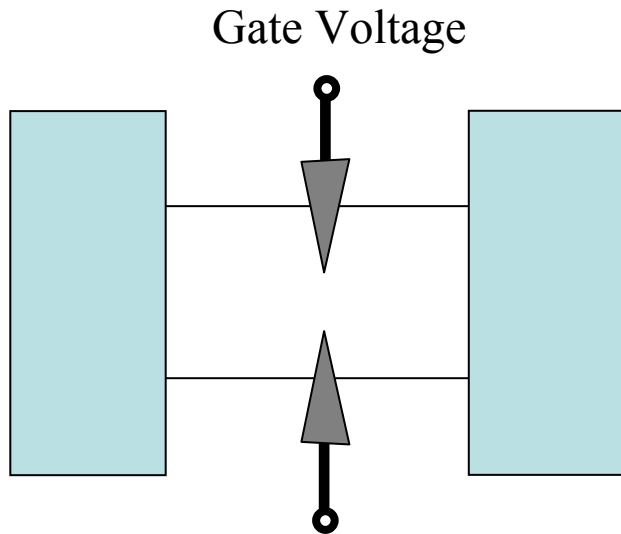
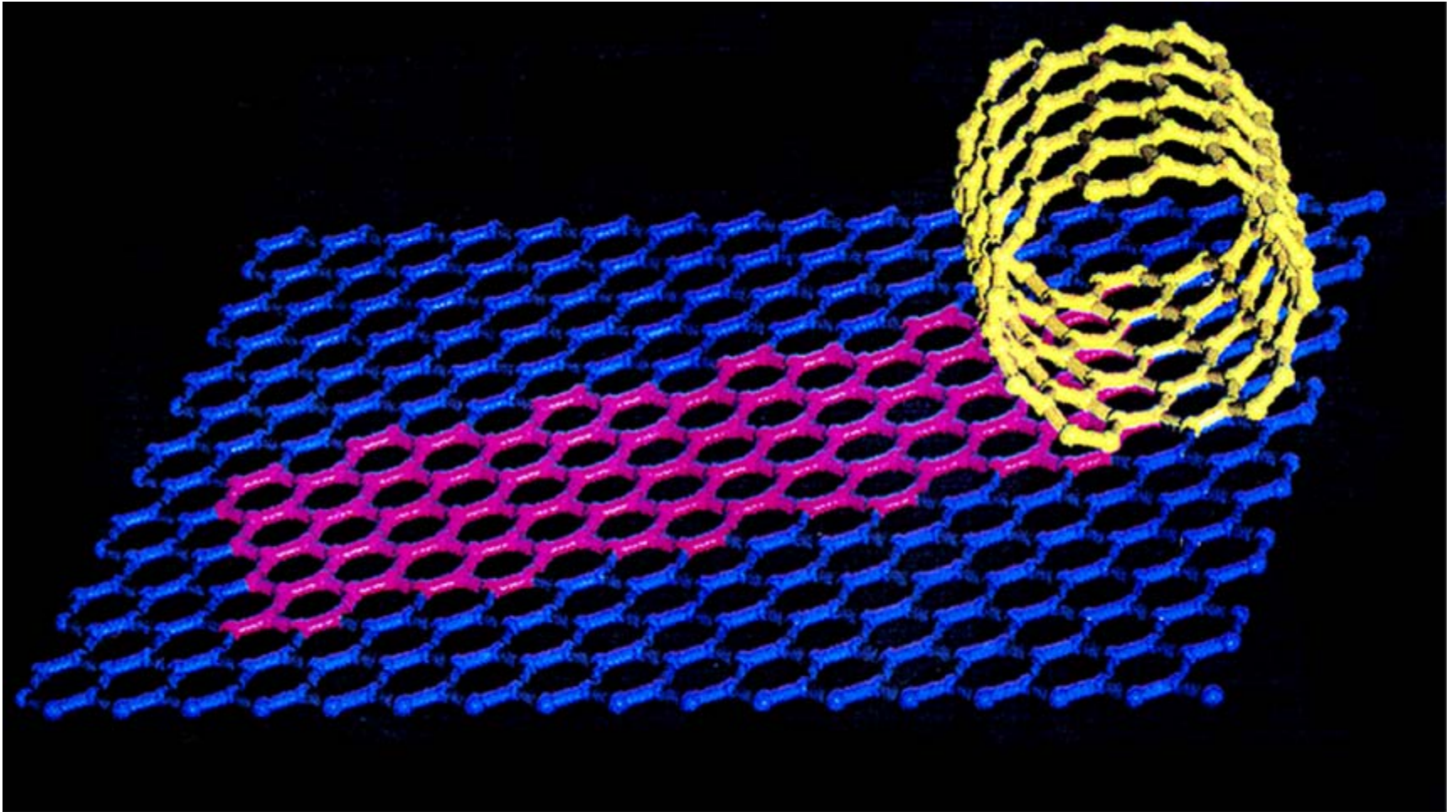


FIG. 2. Point-contact conductance as a function of gate voltage, obtained from the data of Fig. 1 after subtraction of the lead resistance. The conductance shows plateaus at multiples of $e^2/\pi h$.

$$G = \frac{2e^2}{h}$$

Single-wall Carbon Nanotubes





Acknowledgments

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- Sergei Stolbov
- Ahlam Al-Rawi
- Abdel Kader Kara
- John Spangler
- Oleg Trushin
- Matti Alatalo
- Petri Salo
- Ilja Makkonen
- Tapio Ala Nissila
- Klaus Peter Bohnen
- Rolf Heid
- F. Baumberger
- T. Greber
- J. Osterwalder
- Ludwig Bartels

\$\$ NSF, DOE, CRDF



As soon as I mention this, people tell me about miniaturization, and how far it has progressed today. They tell me about electric motors that are the size of the nail on your small finger. And there is a device on the market, they tell me, by which you can write the Lord's Prayer on the head of a pin. But that's nothing; that's the most primitive, halting step in the direction I intend to discuss. It is a staggeringly small world that is below. In the year 2850, when they look back at this age, they will wonder why it was not until the year 1950 that anybody began seriously to move in this direction.



Richard P. Feynman, 1959